This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.



(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 3 January 2002 (03.01.2002)

PCT

(10) International Publication Number WO 02/01281 A2

(51) International Patent Classification7:

_ _ _

(21) International Application Number: PCT/US01/20023

(22) International Filing Date: 22 June 2001 (22.06.2001)

(25) Filing Language:

English

G02F 1/00

(26) Publication Language:

English

(30) Priority Data: 09/606,654

28 June 2000 (28:06:2000)

- (71) Applicant: SIPIX IMAGING, INC. [US/US]; 1075 Montague Expressway, Milpitas, CA 95035 (US).
- (72) Inventors: LIANG, Rong-Chang; 1020 Bluebonnet Drive, Sunnyvale, CA 94086 (US). CHAN-PARK, Mary; 38000 Camden Street #105, Fremont, CA 94536 (US). WU, Zarng-Arh, George; 2390 Lucretia Avenue #509, San Jose, CA 95122 (US). CHEN, Xianhai; 2601 Cortez Drive #45, Santa Clara, CA 95051 (US). ZANG, Hong-Mei; 209 E. Red Oak Drive, Sunnyvale, CA 94086 (US).
- (74) Agents: CHOW, Y., Ping et al.; Heller Ehrman White & McAuliffe LLP, 275 Middlefield Road, Menlo Park, CA 94025-3506 (US).

- (81) Designated States (national): AE, AG, AL, AM, AT, AT (utility model), AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, CZ (utility model), DE, DE (utility model), DK, DK (utility model), DM, DZ, EC, EE, EE (utility model), ES, FI, FI (utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (utility model), SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

 without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

281 A2

(54) Title: MANUFACTURING PROCESS FOR ELECTROPHORETIC DISPLAY

(57) Abstract: This invention relates to a method of manufacturing a micro-cup array. Such an array may find use in a number of applications such as, for example, a video display. The micro-cups find particular use in electrophoretic or liquid crystal displays.

WO 02/01281 PCT/US01/20023

Manufacturing Process for Electrophoretic Display

Field

5

10

15

20

25

The present invention relates generally to the field of electrophoretic displays and, specifically, to a novel method of manufacturing such displays.

Background

The electrophoretic display is a non-emissive device based on the electrophoresis phenomenon of charged pigment particles suspended in a solvent. It was first proposed in 1969. The display usually comprises two plates with electrodes placed opposing each other, separated by using spacers. One of the electrodes is usually transparent. A suspension composed of a colored solvent and charged pigment particles is enclosed between the two plates. When a voltage difference is imposed between the two electrodes, the pigment particles migrate to one side and then either the color of the pigment or the color of the solvent can be seen according to the polarity of the voltage difference.

In order to prevent undesired movement of the particles, such as sedimentation, partitions between the two electrodes were proposed for dividing the space into smaller cells. However, in the case of partition-type electrophoretic displays, some difficulties were encountered in the formation of the partitions and the process of enclosing the suspension. Furthermore, it was also difficult to keep different colors of suspensions separate from each other in the partition-type electrophoretic display.

Subsequently, attempts were made to enclose the suspension in microcapsules. US Patent Nos. 5,961,804 and 5,930,026 describe microencapsulated electrophoretic displays. The reference display has a substantially two dimensional arrangement of microcapsules each having therein an electrophoretic composition of a dielectric fluid and a suspension of charged pigment particles that visually contrast with the dielectric solvent. The

10

15

20

25



microcapsules can be formed by interfacial polymerization, in-situ polymerization or other known methods such as physical processes, in-liquid curing or simple/complex coacervation. The microcapsules, after their formation, may be injected into a cell housing two spaced-apart electrodes, or "printed" into or coated on a transparent conductor film. The microcapsules may also be immobilized within a transparent matrix or binder that is itself sandwiched between the two electrodes.

The electrophoretic displays prepared by these prior art processes, in particular the microencapsulation process as disclosed in US Patent Nos. 5,930,026, 5,961,804, and 6,017,584, have many shortcomings. For example, the electrophoretic display manufactured by the microencapsulation process suffers from sensitivity to environmental changes (in particular sensitivity to moisture and temperature) due to the wall chemistry of the microcapsules. Secondly the electrophoretic display based on the microcapsules has poor scratch resistance due to the thin wall and large particle size of the microcapsules. To improve the handleability of the display, microcapsules are embedded in a large quantity of polymer matrix which results in a slow response time due to the large distance between the two electrodes and a low contrast ratio due to the low payload of pigment particles. It is also difficult to increase the surface charge density on the pigment particles because charge-controlling agents tend to diffuse to the water/oil interface during the microencapsulation process. The low charge density or zeta potential of the pigment particles in the microcapsules also results in a slow response rate. Furthermore, because of the large particle size and broad size distribution of the microcapsules, the prior art electrophoretic display of this type has poor resolution and addressability for color applications.

To prevent undesired movements of the particles such as lateral migration or sedimentation, partition of the electrophoretic display into smaller cells by photolithographic process has been reported. The process in the prior art is batchwise and requires solvent development. A roll-to-roll microembossing process has also been disclosed. It is desirable to have a high throughput method

of manufacture for micro-cups used in electrophoretic or liquid crystal displays that does not require a solvent.

Summary

5

10

15

20

25

The present invention is directed to a method of manufacture for an array of micro-cups and uses for the micro-cup array.

In one aspect of the invention there is a method utilizing a pre-patterned male mold that is coated with a thermoplastic or thermoset precursor composition (such as, for example, a UV curable resin) to form a micro-cup array. The resin is then contacted with a transfer sheet (or plastic substrate) having a patterned conducting layer and, optionally, heated. The mold is registered to the conductor pattern. A uniform pressure may be applied to the transfer sheet to aid in improving adhesion between the transfer sheet and the resin and control the thickness of the floor of the micro-cups. The resin is cured by exposure to radiation such as UV light. Once cured the resin is released from the male mold to yield the array of micro-cups. Optiona¹¹y, the male mold may be pre-coated with a release coating such as wax, silicone or fluorinated polymer. If necessary, the micro-cup array may be post-cured.

In a second aspect of the invention there is provided a method of manufacture of an electrophoretic display using the micro-cup array. The process for the manufacture of a full color electrophoretic display comprises laminating the preformed microcups with a layer of positively working photoresist, selectively opening a certain number of the microcups by imagewise exposing the positive photoresist, followed by developing the resist, filling the opened cups with a colored electrophoretic fluid, and sealing the filled microcups by a sealing process. These steps may be repeated to create sealed microcups filled with electrophoretic fluids of different colors.

In a third aspect of the invention there is provided an electrophoretic display using the micro-cup array. The micro-cup array is filled with a dielectric fluid containing at least a charged pigment suspension in a colored dielectric

solvent or solvent mixture. The micro-cups are then sealed. The sealed array is laminated with a conductor film pre-coated with an adhesive layer.

Description of the Figures

Figure 1 is a schematic depiction of the electrophoretic display of the present invention.

Figure 2 is a schematic depiction of the Transfer Mold, i.e., male mold.

Figure 3 is a representation of a manufacturing process by transfer casting a UV curable composition onto a male mold.

Figure 4 is a flow chart for manufacturing a black/white electrophoretic display or other single color electrophoretic display.

Figure 5 is a flow chart for manufacturing a multi-color electrophoretic display.

Figure 6 is a typical micro-cup array prepared by the inventive method.

15

10

5

Detailed Description

The invention will now be described in detail by way of reference only using the following definitions and examples. All patents and publications referred to herein are expressly incorporated by reference.

20 Definitions

Unless defined otherwise in this specification, all technical terms are used herein according to their conventional definitions as they are commonly used and understood by those of ordinary skill in the art. As used herein, the following terms or abbreviations, whether used in the singular or plural, will have the meanings indicated:

The term "micro-cup" refers to the cup-like indentations created by transfer casting.

The term "cell", in the context of the present invention, is intended to mean the single unit formed from a sealed micro-cup. The cells are filled with charged pigment particles dispersed in a solvent or solvent mixture.

30

PCT/US01/20023

The term "well-defined", when describing the micro-cups or cells, is intended to indicate that the micro-cup or cell has a definite shape, size and aspect ratio which are pre-determined according to the specific parameters of the manufacturing process.

The term "aspect ratio" is a commonly known term in the art of electrophoretic displays. In this application, it refers to the depth to width or depth to diameter ratio of the micro-cups.

Preferred Embodiments

An electrophoretic display of the present invention, as shown in Figure 1, comprises two electrode plates (10, 11), at least one of which is transparent (10), and a layer of well-defined cells (12) enclosed between the two electrodes. The cells are of well-defined shape and size and are filled with charged pigment particles dispersed in a colored dielectric solvent. When a voltage difference is imposed between the two electrodes, the charged particles migrate to one side, such that either the color of the pigment or the color of the solvent is seen through the transparent conductor film. At least one of the two conductors is patterned. The process for the preparation of such an electrophoretic display involves several aspects.

20

5

10

10

15

20

25

I. Preparation of the Micro-cups

Preparation of the Male Mold

The male mold (24) may be prepared by any appropriate method such as, for example, a photoresist process followed by either etching or electroplating. A representative example of the male mold is given in Figure 2. A master template for the male mold may be manufactured by any appropriate method, such as, for example, electroplating. With electroplating, a glass base is sputtered with a thin layer (typically 3000 Å) of a seed metal such as chrome inconel. It is then coated with a layer of photoresist and exposed to UV. A mask is placed between the UV and the layer of photoresist. The exposed areas of the photoresist become hardened. The unexposed areas are then removed by washing them with an appropriate solvent. The remaining hardened photoresist is dried and sputtered again with a thin layer of seed metal. A master is then ready for electroforming. A typical material used for electroforming is nickel cobalt. Alternatively, the master can be made of nickel by nickel sulfamate electroforming or electroless nickel deposition as described in "Continuous manufacturing of thin cover sheet optical media", SPIE Proc. Vol. 1663, pp.324 (1992). The floor of the mold (22) is typically between about 50 to 400 microns. The master can also be made using other microengineering techniques including e-beam writing, dry etching, chemical etching, laser writing or laser interference as described in "Replication techniques for micro-optics", SPIE Proc. Vol.3099, pp76-82 (1997). Alternatively, the mold can be made by photomachining using plastics, ceramics or metals.

The male mold (24) thus prepared typically has protrusions (20) between about 1 to 500 microns, preferably between about 2 to 100 microns, and most preferred being about 4 to 50 microns. The male mold may be in the form of a belt, a roller, or a sheet. For continuous manufacturing, the belt type of mold is preferred.

10

15

20

25

7

Micro-cup Formation

Micro-cups may be formed either in a batchwise process or in a continuous roll-to-roll process. The latter offers a continuous low cost, high throughput manufacturing technology for production of compartments for use in electrophoretic or liquid crystal displays. The process is represented in Figure 3. Prior to applying the UV curable resin, the mold may be prepared with a mold release to aid in the demolding process, if desired or necessary. The UV curable resin (30) may be degassed prior to dispensing and may optionally contain a solvent. The solvent, if present, readily evaporates. The UV curable resin (30) is dispensed by any appropriate means such as, for example, coating, dipping, pouring and the like, over the male mold (24). The dispenser (32) may be moving or stationary. A plastic substrate or transfer sheet with adhesion promotion properties (34) is overlaid on the UV curable resin.

The thermoplastic or thermoset precursor for the preparation of the microcups may be multifunctional acrylate or methacrylate, vinylether, epoxide and their oligomers, polymers and the like. Multifunctional acrylate and their oligomers are the most preferred. A combination of multifunctional epoxide and multifunctional acrylate is also very useful to achieve desirable physicomechanical properties. A crosslinkable oligomer imparting flexibility, such as urethane acrylate or polyester acrylate, is usually also added to improve the flexure resistance of the embossed micro-cups. The composition may contain polymer, oligomer, monomer and additives or only oligomer, monomer and additives. Examples of suitable resins include, but are not limited to, acrylics, polyesters such as polyethylene terephthalate and polyethylene naphthate, polyaramids, polyamides, polyimides, polyolefins, polysulfones, epoxy and their composites. Additional resins are polyvalent acrylate or methacrylate, polyvalent vinyls including vinylbenzene, vinylsilane, vinylether, polyvalent epoxide, polyvalent allyl and oligomers, polymers containing those crosslinkable functional groups and the like.

The glass transition temperatures (or Tg) for this class of materials usually range from about -70°C to about 150°C, preferably from about -20°C to about 50°C. The microembossing process is typically carried out at a temperature higher than the Tg. A heated male mold or a heated housing substrate against which the mold presses may be used to control the microembossing temperature and pressure.

Suitable plastics are polyethylene terephthalate, polyethylene naphthate, polycarbonate, polyvinyl fluoride, polyaramid, polyimide, polycycloolefin, polysulfone, epoxy, and their composites. Pressure may be applied, if necessary, to ensure proper bonding between the resin and the plastic and control the thickness of the floor of the micro-cups. The pressure may be applied using a laminating roller, vacuum molding, press device or any other like means. If the male mold is metallic and opaque, the plastic substrate is typically transparent to the actinic radiation used to cure the resin. Conversely, the male mold can be transparent and the plastic substrate can be opaque to the actinic radiation. To obtain good transfer of the molded features onto the transfer sheet, the plastic substrate needs to have good adhesion to the UV curable resin. Optionally, the mold or the precursor compostion, for example the curable resin, may be heated from between 40°C to about 200°C.

20

25

5

10

15.

II. Preparation of the Suspension/Dispersion

The micro-cups are filled with charged pigment particles dispersed in a dielectric solvent. The dispersion may be prepared according to methods well known in the art such as US Patent Nos. 6,017,584, 5,914,806, 5,573,711, 5,403,518, 5,380,362, 4,680,103, 4,285,801, 4,093,534, 4,071,430, 3,668,106 and *IEEE Trans. Electron Devices*, ED-24, 827 (1977), and *J. Appl. Phys.* 49(9), 4820 (1978). The charged pigment particles visually contrast with the medium in which the particles are suspended. The medium is a dielectric solvent which preferably has a low viscosity and a dielectric constant in the range of about 2 to about 30, preferably about 2 to about 15 for high particle mobility. Examples of

suitable dielectric solvents include hydrocarbons such as decahydronaphthalene (DECALIN), 5-ethylidene-2-norbornene, fatty oils, paraffin oil, aromatic hydrocarbons such as toluene, xylene, phenylxylylethane, dodecylbenzene and alkylnaphthalene, halogenated solvents such as perfluorodecalin, perfluorotoluene, perfluoroxylene, dichlorobenzotrifluoride, 3,4,5-5 trichlorobenzotrifluoride, chloropentafluoro-benzene, dichlorononane, pentachlorobenzene, and perfluoro solvents such as FC-43, FC-70 and FC-5060 from 3M Company, St. Paul MN, low molecular weight halogen containing polymers such as poly(perfluoropropylene oxide) from TCI America, Portland, Oregon, poly(chlorotrifluoroethylene) such as Halocarbon Oils from Halocarbon 10 Product Corp., River Edge, NJ, perfluoropolyalkylether such as Galden from Ausimont or Krytox Oils and Greases K-Fluid Series from DuPont, Delaware. In one preferred embodiment, poly(chlorotrifluoroethylene) is used as the dielectric solvent. In another preferred embodiment, poly(perfluoropropylene oxide) is used 15 as the dielectric solvent.

The suspending medium may be colored by dyes or pigments. Nonionic azo and anthraquinone dyes are particularly useful. Examples of useful dyes include, but are not limited to: Oil Red EGN, Sudan Red, Sudan Blue, Oil Blue, Macrolex Blue, Solvent Blue 35, Pylam Spirit Black and Fast Spirit Black from Pylam Products Co., Arizona, Sudan Black B from Aldrich, Thermoplastic Black X-70 from BASF, anthroquinone blue, anthroquinone yellow 114, anthroquinone red 111, 135, anthroquinone green 28 from Aldrich. In case of an insoluble pigment, the pigment particles for generating the color of the medium may also be dispersed in the dielectric medium. These color particles are preferably uncharged. If the pigment particles for generating color in the medium are charged, they preferably carry a charge which is opposite from that of the charged pigment particles. If both types of pigment particles carry the same charge, then they should have different charge density or different electrophoretic mobility. In any case, the dye or pigment for generating color of the medium must be chemically stable and compatible with other components in the suspension.

30

20

10

15

20

25

Additionally, polymeric dyes, such as dye grafted polymer, or grafted dyes such as dye grafted with oligomer / monomer may be used.

The charged pigment particles may be organic or inorganic pigments, such as TiO₂, phthalocyanine blue, phthalocyanine green, diarylide yellow, diarylide AAOT Yellow, and quinacridone, azo, rhodamine, perylene pigment series from Sun Chemical, Hansa yellow G particles from Kanto Chemical, and Carbon Lampblack from Fisher. Submicron particle size is preferred. The particles should have acceptable optical characteristics, should not swollen or softened by the dielectric solvent, and should be chemically stable. The resulting suspension must also be stable against sedimentation, creaming or flocculation under normal operating conditions.

The pigment particles may exhibit a native charge, or may be charged explicitly using a charge control agent, or may acquire a charge when suspended in the dielectric solvent. Suitable charge control agents are well known in the art; they may be polymeric or non-polymeric in nature, and may also be ionic or nonionic, including ionic surfactants such as Aerosol OT, sodium dodecylbenzenesulfonate, OLOA 1200 from Chevron Chemical Co., metal soaps such as basic calcium petroleum sulphonate, basic calcium alkyl salicylate, zinc alkyl salicylate, alkyl diphenyloxide disulfonate (Dowfax surfactants from Dow Chemical), polybutene succinimide, maleic anhydride copolymers, vinylpyridine copolymers, vinylpyrrolidone copolymer (such as Ganex from International Specialty Products), (meth)acrylic acid copolymers, N,N-dimethylaminoethyl (meth)acrylate copolymers. Fluorosurfactants are particularly useful as charge controlling agents in perfluorocarbon solvents. These include FC fluorosurfactants such as FC-170C, FC-171, FC-176, FC430, FC431 and FC-740 from 3M Company and Zonyl fluorosurfactants such as Zonyl FSA, FSE, FSN, FSN-100, FSO, FSO-100, FSD and UR from Dupont.

Suitable charged pigment dispersions may be manufactured by any of the well-known methods including grinding, milling, attriting, microfluidizing, and ultrasonic techniques. For example, pigment particles in the form of a fine

10

15

20

25

powder are added to the suspending solvent and the resulting mixture is ball milled or attrited for several hours to break up the highly agglomerated dry pigment powder into primary particles. Although less preferred, a dye or pigment for generating color of the suspending medium may be added to the suspension during the ball milling process.

Sedimentation or creaming of the pigment particles may be eliminated by microencapsulating the particles with suitable polymers to match the specific gravity to that of the dielectric solvent. Microencapsulation of the pigment particles may be accomplished chemically or physically. Typical microencapsulation processes include interfacial polymerization, in-situ polymerization, phase separation, coacervation, electrostatic coating, spray drying, fluidized bed coating and solvent evaporation.

For a black/white electrophoretic display, the suspension comprises charged white particles of titanium oxide (TiO₂) dispersed in a black solvent or charged black particles dispersed in a dielectric solvent. A black dye or dye mixture such as Pylam Spirit Black and Fast Spirit Black from Pylam Products Co. Arizona, Sudan Black B from Aldrich, Thermoplastic Black X-70 from BASF, or an insoluble black pigment such as carbon black may be used to generate the black color of the solvent. For other colored suspensions, there are many possibilities. For a subtractive color system, the charged TiO₂ particles may be suspended in a dielectric solvent of cyan, yellow or magenta color. The cyan, yellow or magenta color may be generated via the use of a dye or a pigment. For an additive color system, the charged TiO₂ particles may be suspended in a dielectric solvent of red, green or blue color generated also via the use of a dye or a pigment. The red, green, blue color system is preferred for most applications.

III. Sealing of the Micro-cups

The sealing of the micro-cups may be accomplished in a number of ways.

A preferred approach is to disperse a UV curable composition containing multifunctional acrylates, acrylated oligomers, and photoinitiators into an

10

15

20

25

electrophoretic fluid containing charged pigment particles dispersed in a colored dielectric solvent. The UV curable composition is immiscible with the dielectric solvent and has a specific gravity lower than that of the dielectric solvent and the pigment particles. The two components, UV curable composition and the electrophoretic fluid, are thoroughly blended in an in-line mixer and immediately coated onto the micro-cups with a precision coating mechanism such as Myrad bar, gravure, doctor blade, slot coating or slit coating. Excess fluid is scraped away by a wiper blade or a similar device. A small amount of a weak solvent or solvent mixture such as isopropanol, methanol, or their aqueous solutions may be used to clean the residual electrophoretic fluid on the top surface of the partition walls of the micro-cups. Volatile organic solvents may be used to control the viscosity and coverage of the electrophoretic fluid. The thus-filled micro-cups are then dried and the UV curable composition floats to the top of the electrophoretic fluid. The micro-cups may be sealed by curing the supernatant UV curable layer during or after it floats to the top. UV or other forms of radiation such as visible light, IP. and electron beam may be used to cure and seal the micro-cups. Alternatively, heat or moisture may also be employed to cure and seal the microcups, if appropriate, heat or moisture curable compositions may be used.

A preferred group of dielectric solvents exhibiting desirable density and solubility discrimination against acrylate monomers and oligomers are halogenated hydrocarbons and their derivatives. Surfactants may be used to improve the adhesion and wetting at the interface between the electrophoretic fluid and the sealing materials. Useful surfactants include the FC surfactants from 3M Company, Zonyl fluorosurfactants from DuPont, fluoroacrylates, fluoro-substituted long chain alcohols, perfluoro-substituted long chain carboxylic acids and their derivatives.

Alternatively, the electrophoretic fluid and the sealing precursor may be coated sequentially into the micro-cups, if the sealing precursor is at least partially compatible with the dielectric solvent. Thus, the sealing of the micro-cups may be accomplished by overcoating a thin layer of thermoset precursor which is

10

15

20

curable by radiation, heat, moisture or interfacial reactions and curing on the surface of the filled micro-cups. Interfacial polymerization followed by UV curing is very beneficial to the sealing process. Intermixing between the electrophoretic layer and the overcoat is significantly suppressed by the formation of a thin barrier layer at the interface by interfacial polymerization. The sealing is then completed by a post curing step, preferably by UV radiation or other actinic radiation. To further reduce the degree of intermixing, it is highly desirable that the specific gravity of the overcoating is significantly lower than that of the electrophoretic fluid. Volatile organic solvents may be used to adjust the viscosity and the thickness of the coatings. When a volatile solvent is used in the overcoat, it is preferred that it is immiscible with the dielectric solvent. The two-step overcoating process is particularly useful when the dye used is at least partially soluble in the thermoset precursor.

IV. Preparation of Monochrome Electrophoretic Displays

The process is illustrated by the flow diagram as shown in **Figure 4**. All micro-cups are filled with a suspension of the same color composition. The process can be a continuous roll-to-roll process comprising the following steps:

- 1. Micro-cups are prepared as described above. Briefly, micro-cups are manufatured by transfer casting a UV curable composition onto a male mold, curing the composition, and demolding of the formed parts using a transfer sheet. The process may be batchwise or roll-to-roll.
- 2. Release the mold from the cured resin layer preferably during or after it is hardened by proper means.
- 3. Fill in the thus-formed array of micro-cups (63) with a charged pigment dispersion (64) in a colored dielectric solvent containing at least a thermoset precursor which is incompatible with the solvent and has a lower specific gravity than the solvent and the pigment particles.
- 4. Seal the micro-cups by curing the thermoset precursor preferably by radiation such as UV (65), or by heat or moisture during or after the thermoset

10

15

20

25



precursor separates and forms a supernatant layer on top of the liquid phase, thus forming closed electrophoretic cells containing pigment dispersion in a colored dielectric solvent.

5. Laminate the sealed array of electrophoretic cells with a second conductor film (66) pre-coated with an adhesive layer (67) which may be a pressure sensitive adhesive, a hot melt adhesive, a heat, moisture, or radiation curable adhesive.

The laminate adhesive may be post cured by radiation such as UV (68) through the top conductor film if the latter is transparent to the radiation. The finished product may be cut (69) and assembled after the lamination step.

The preparation of the micro-cups described above can be conveniently replaced by the alternative procedure of batchwise manufacture. The sealing of the micro-cups may alternatively be accomplished by directly overcoating and curing a layer of the thermoset precursor composition over the surface of the electrophoretic fluid.

V. Preparation of Multi-Color Electrophoretic Displays

For the manufacture of a multi-color electrophoretic display, additional steps are needed to generate micro-cups containing suspensions of different colors. These additional steps include (1) laminating the already formed micro-cups with a positively working dry-film photoresist consisting of at least a removable support such as PET-4851 from Saint-Gobain, Worcester, MA, a novolac positive photoresist such as Microposit S1818 from Shipley, and an alkali-developable adhesive layer such as a mixture of Nacor 72-8685 from National Starch and Carboset 515 from BF Goodrich; (2) selectively opening a certain amount of the micro-cups by imagewise exposing the photoresist, removing the removable support film, and developing the positive photoresist with a developer such as diluted Microposit 351 developer from Shipley; (3) filling the opened cups with the electrophoretic fluid containing charged white pigment (TiO₂) particles and dye or pigment of the first primary color; and (4)

15

20

sealing the filled micro-cups as described in the preparation of monochrome displays. These additional steps may be repeated to create micro-cups filled with electrophoretic fluid of the second and the third primary colors.

More specifically, a multi-color electrophoretic displays may be prepared according to the steps as shown in Figure 5:

- 1. Manufacture micro-cups using a UV curable resin (70) on a conductor film (71) as previously described above.
- 2. Release the mold from the cured resin layer preferably during or after it is hardened.
- 10 3. Laminate the thus formed array of micro-cups (72) with a positive dry-film photoresist which comprises at least an adhesive layer (73), a positive photoresist (74) and a removable plastic cover sheet (not shown).
 - 4. Imagewise expose (Figure 5c) the positive photoresist by UV, visible light, or other radiation, remove the cover sheet, develop and open cups in the exposed area. The purpose of Steps 4 and 5 is to selectively open the micro-cups in a predetermined area (Figure 5d).
 - 5. Fill in the opened micro-cups with a charged white pigment dispersion (75) in a dielectric solvent containing at least a dye or pigment of the first primary color and a thermoset precursor (76) which is incompatible with the solvent and has a lower specific gravity than the solvent and the pigment particles.
 - 6. Seal the micro-cups to form closed electrophoretic cells containing electrophoretic fluid of the first primary color by curing the thermoset precursor by heat, moisture or radiation such as UV during or after the thermoset precursor separates and forms a supernatant layer on top of the liquid phase (**Figure 5e**).
- 25 UV curing is preferred for the sealing step."
 - 7. Steps 5-7 described above may be repeated to generate well-defined cells containing electrophoretic fluids of different colors in different areas (Figures 5e 5g).
- 8. Laminate the sealed array of electrophoretic cells in registration to a second, pre-patterned transparent conductor film (77) pre-coated with an adhesive

layer (78) which may be a pressure sensitive adhesive, a hot melt adhesive, a heat, moisture, or radiation curable adhesive.

9. Harden the adhesive.

The preparation of the micro-cups described in the process above can conveniently be replaced by the alternative procedure of batchwise manufacture. The sealing of the micro-cups may be alternatively accomplished by directly coating a layer of a thermoset precursor material over the surface of the liquid phase.

The thickness of the display produced by the present processes as described can be as thin as a piece of paper. The width of the display is the width of the coating web (typically 3-90 inches). The length of the display can be anywhere from inches to thousands of feet depending on the size of the roll.

Examples

The following preparations and examples are given to enable those skilled in the art to more clearly understand and to practice the present invention. They should not be considered as limiting the scope of the invention, but merely as being illustrative and representative thereof.

20

5

10

15

Example 1

Preparation of Liquid Formulations for Micro-cups

The liquid formulations used are shown in Table 1. The liquid formulation was degassed using ultrasonics for 10 minutes prior to use. Formulation X-021 produced micro-cups with a thicker bottom floor than formulations X-023 or X-024



Table 1 - Formulation for transfer molding

No.	Ingredient [Description	X-021	X-023	X-024
	(Supplier)				
1	Ebecryl 3605 E	Epoxy acrylate	56.07	53.67	48.60
	(UCB Chemicals)				
2	Ebecryl 4827 U	Jrethane acrylate	11.21	10.73	9.72
	(UCB Chemicals)				
3	HDODA (UCB N	Monomer	28.04	26.84	33.43
	Chemicals)				
4	Ebecryl 1360 S	Slip agent	0.00	4.29	4.20
	(UCB Chemicals)				•
5	Irgacure 500 F	Photoinitiator	4.67	4.47	4.05
	(Ciba Specialty				
	Chemicals Corp.)				
	Total		100.00	100.00	100.00

The liquid formulations are conveniently dispensed using a moving or stationery dispenser. Using a stationery dispenser, precise doctoring of the coating over the retaining features of the male mold shall follow.

Example 2

Micro-cup Manufacture

A male mold with four quartrans was made using lithographic techniques
to produce micro-cups of dimensions shown in Figure 6. The male mold was
made primarily of nickel and the protrusions are about 10μm high. The mold was
prepared with a mold release, specifically Frekote[®] 700-NC. A few drops of the
desired liquid formulation (for example, as described in Example 1) were
deposited on the male mold using a micro-pipette. A polyethylene terphthalate
film (Mylar[®] or Melinex[®] film from DuPont) was then laminated on the coated
male mold. A GBC laminator with a set of matching rubber rollers was used for

PCT/US01/20023

5

the lamination. The temperature of the rollers was set at 60°C, speed at 1-30 ft/min. The coating was exposed to UV light through the PET film for 5 minutes.

Dimensions of the micro-cups made by the technique of this invention are summarized in Table 2. Formulation X-021 produced micro-cups with a thicker bottom floor (about $10\mu m$); formulations X-023 and X-024 produced a thinner floor (less than about $5\mu m$).

CD 11 A CD C	. •	C* 1	• .1	•	C 1	1 ~ 1
Table 2 – Transfer	casting on	ı tılm	with	vamons	tormulations	and films
1 abio 2 Liambioi	oupting or	* *****	AAICHI	V GLIO GO	IOIIIIGIGGOIG	with Illino

No.	Sample	Formulation	Film ³	Quartran .	h¹ (μm)	$d^2 (\mu m)$
1	F021A	X-021	Melinex 725/500g	3	8 – 10	10
2	F023B	X-023	Melinex 453/92g	2	12	~0
3.	F023B	X-023	Melinex 453/92g	1 '	12	2
4	F024A	X-024	Melinex 725/500g	-4	11-12	4.5
5	F024B	X-024	Melinex 453/92g	2	12-13	1-3

- 1. h: Height of micro-cup
- 2. d: thickness of floor of micro-cup
- 10 3. Film Type/Film Thickness (in gauge)

While the present invention has been described with reference to the specific embodiments thereof, it should be understood by those skilled in the art that various changes may be made and equivalents may be substituted without departing from the true spirit and scope of the invention. In addition, many modifications may be made to adapt a particular situation, materials, compositions, processes, process step or steps, to the objective, spirit and scope of the present invention. All such modifications are intended to be within the scope of the claims appended hereto.

Claims

- 1. A method of manufacturing a micro-cup array comprising:
- a. coating a thermoset or thermoplastic precursor composition onto a
 pre-patterned male mold to form a contiguous precursor layer on the
 male mold;
 - b. contacting the precursor layer with a transfer sheet;
 - c. curing the precursor to form a cured micro-cup array; and
 - d. releasing the mold from the micro-cup array.
 - 2. The process of Claim 1 wherein said curing is by exposure to heat, moisture, or radiation preferably UV.
 - 3. The process of Claim 1 wherein said precursor composition comprises crosslinkable resins, monomers, oligomers or polymers.
- 4. The process of Claim 1 wherein said crosslinkable resins, monomers, oligomers or polymers are selected from the group consisting of polyvalent acrylate or methacrylate, polyvalent vinyl including vinylbenzene, vinylsilane, vinylether, polyvalent epoxide, polyvalent allyl, and oligomers, polymers containing those crosslinkable functional groups, and the like.
 - 5. The process of Claim 1 wherein the precursor composition is cast at a temperature near or above its glass transition temperature.
 - 6. The process of Claim 5 wherein the glass transition temperature is from about -70°C to about 150°C.
 - 7. The process of Claim 5 wherein the glass transition temperature is from about -20°C to about 50°C.
 - 8. The method of Claim 1 wherein the precursor composition further comprises a plasticizer, organic solvent, or solvent mixture.
- 30 9. The process of Claim 1 wherein the male mold is selected from the group comprising a belt, a roller, and a sheet.

5

15

20

- 10. The process of Claim 9 wherein the male mold is a belt.
- 11. The method of Claim 1 wherein the mold or the precursor is heated from between about 40°C to about 200°C.
- 12. The method of Claim 1 wherein the precursor is partially cured, released from the mold, and post-cured to form a micro-cup array.
- 13. The method of Claim 1 wherein the transfer sheet is selected from the group consisting of polyethylene terephthalate, polyethylene naphthate, polycarbonate, polyvinyl fluoride, polyaramid, polyimide, polycycloolefin, polysulfone, epoxy, and their composites.
- 14. A method of manufacturing micro-cups for use in electrophoretic or liquid crystal displays comprising the steps:
 - a. casting a thermoset or thermoplastic precursor composition onto a pre-patterned male mold;
 - b. superimposing a transparent substrate having a patterned transparent conductor layer facing the precursor composition;
 - c. uniformly applying pressure to the substrate;
 - d. hardening the precursor composition; and
 - e. demolding the male mold with the adhered shaped features.
- 15. The process of Claim 14 wherein said curing is layer by exposure to heat, moisture, or radiation preferably UV.
- 16. The process of Claim 14 wherein said precursor composition comprises crosslinkable resins, monomers, oligomers or polymers.
- 17. The process of Claim 14 wherein said crosslinkable resins, monomers, oligomers or polymers are selected from the group consisting of polyvalent acrylate or methacrylate, polyvalent vinyl including vinylbenzene, vinylsilane, vinylether, polyvalent epoxide, polyvalent allyl, and oligomers, polymers containing those crosslinkable functional groups, and the like.
- 18. The process of Claim 14 wherein the precursor composition is cast at a temperature near or above its glass transition temperature.

5

15

20

30

- 19. The process of Claim 18 wherein the glass transition temperature is from about -70°C to about 150°C.
- 20. The process of Claim 18 wherein the glass transition temperature is from about -20°C to about 50°C.
- 21. The method of Claim 14 wherein the precursor composition further comprises a plasticizer, organic solvent, or solvent mixture.
- 22. The process of Claim 14 wherein the male mold is selected from the group comprising a belt, a roller, and a sheet.
- 23. The process of Claim 22 wherein the male mold is a belt.
- 24. The method of Claim 14 wherein the mold or the precursor is heated from between about 40°C to about 200°C.
- 25. The method of Claim 14 wherein the precursor is partially cured, released from the mold, and post-cured to form a micro-cup array.
- 26. The method of Claim 14 wherein the transfer sheet is selected from the group consisting of polyethylene terephthalate, polyethylene naphthate, polycarbonate, polyvinyl fluoride, polyaramid, polyimide, polycycloolefin, polysulfone, epoxy, and their composites.
- 27. The method of Claim 26 wherein the patterned transparent conductor layer comprises Indium Tin Oxide (ITO) lines having a width matched to the width of the protrusion pattern of the male mold.
- 28. The method of Claim 26 wherein the patterned transparent conductor layer is registered to the protrusion pattern of the male mold.
- 29. A process for the manufacture of an electrophoretic display, which process comprises the steps of:
- a. preparing microcups by first coating a layer of thermose; precursor on a conductor film followed by embossing the thermoset precursor layer with a male mold or by imagewise exposing the thermoset precursor layer and removing the unexposed areas;

15

20

- filling in the thus-formed array of microcups with a dielectric fluid containing at least a charged pigment suspension in a dielectric solvent or solvent mixture;
- c. sealing the microcups; and
- d. laminating the sealed array of electrophoretic cells with a second conductor film pre-coated with an adhesive layer.
- 30. A process for the manufacture of a multi-color electrophoretic display, which process comprises the steps of:
 - a. preparing microcups by first coating a layer of thermoset or thermoplastic precursor composition on a conductor film followed by embossing the precursor layer with a male mode or by imagewise exposing the precursor layer and removing the unexposed areas;
 - b. laminating the thus formed array of microcups with a layer of positive photoresist;
 - c. imagewise exposing the positive photoresist to selectively open the microcups in a predetermined area;
 - d. filling in the opened microcups with a dielectric fluid comprising at least a white pigment dispersion in a dielectric solvent or solvent mixture containing a dye or pigment dispersion of the first color;
 - e. sealing the microcups to form closed electrophoretic cells containing said white pigment dispersion in said dielectric solvent or solvent mixture of the first color;
 - f. repeating Steps c) to e), if necessary, in different areas to generate groups of microcups containing electrophoretic fluid of different colors;
 - g. removing residual positive photoresist, if any; and
 - h. laminating the sealed array of electrophoretic cells with a second transparent conductor film precoated with an adhesive layer.
- 31. An electrophoretic display comprising a micro-cup array having plurality of cells having well-defined size, shape and aspect ratio, said

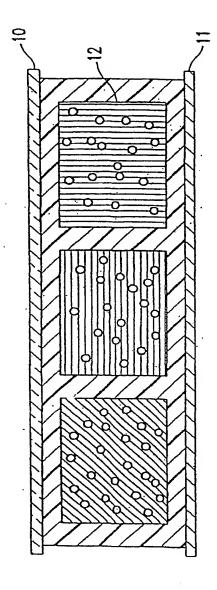
10

15

20

25

cells filled with charged pigment particles dispersed in a dielectric solvent or solvent mixture wherein the micro-cup array is formed by transfer casting using a UV curable resin.



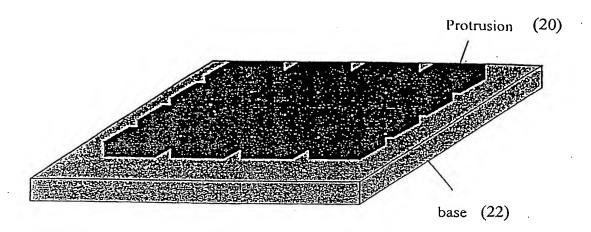


Figure 2 Transfer Molding Mold (24)

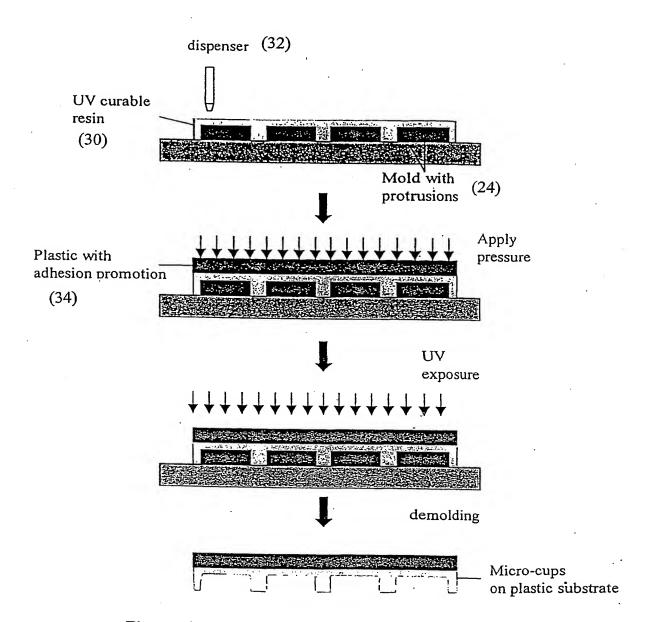


Figure 3 Transfer Molding with Resin Dispensed on Mold

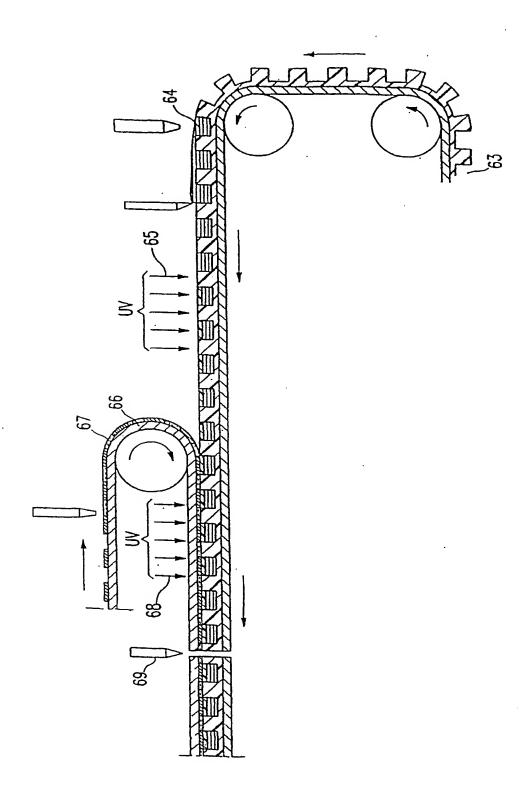


FIG. 4

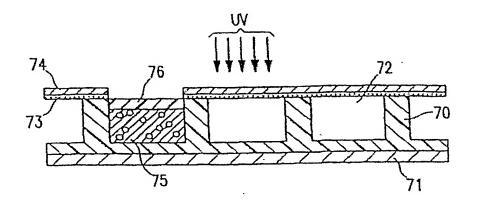


FIG. 5d

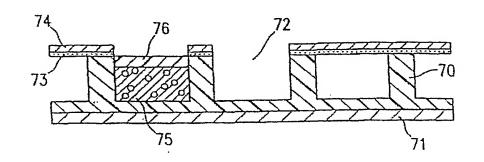


FIG. 5e

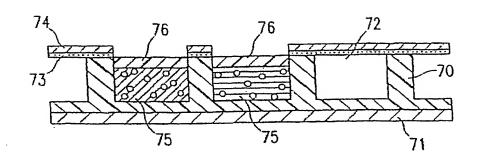


FIG. 5f

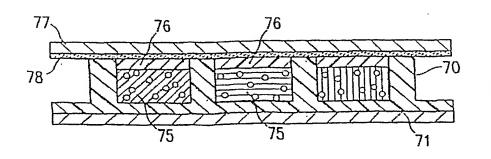


FIG. 5g

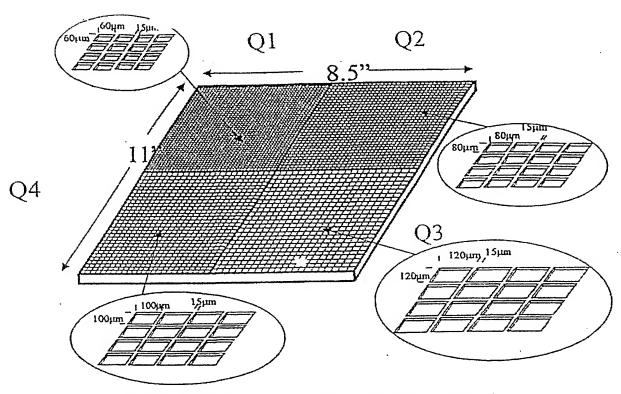


Figure 6 Schematic of Cast Micro-cups (Q is Quartran)

CORRECTED VERSION

(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 3 January 2002 (03.01.2002)

PCT

(10) International Publication Number WO 02/01281 A2

(51) International Patent Classification7:

G02F 1/00

(21) International Application Number: PCT/US01/20023

(22) International Filing Date:

28 June 2001 (28.06.2001)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

09/606,654

28 June 2000 (28.06.2000) US

- (71) Applicant: SIPIX IMAGING, INC. [US/US]; 1075 Montague Expressway, Milpitas, CA 95035 (US).
- (72) Inventors: LIANG, Rong-Chang; 20142 Pacifica Drive, Cupertino, CA 95014 (US). CHAN-PARK, Mary; BIK14, Joo Seng Road, #05-101, Singapore, 360014 (SG). WU, Zarng-Arh, George; 2390 Lucretia Avenue #509, San Jose, CA 95122 (US). CHEN, Xianhai; 2601 Cortez Drive #45, Santa Clara, CA 95051 (US). ZANG, HongMei; 209 E. Red Oak Drive, Sunnyvale, CA 94086 (US).
- (74) Agents: CHOW, Y., Ping et al.; Heller Ehrman White & McAuliffe LLP, 275 Middlefield Road, Menlo Park, CA 94025-3506 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AT (utility model), AU, AZ, BA, BB, BG, BR, BY, BZ, CA,

CH, CN, CO, CR, CU, CZ, CZ (utility model). DE, DE (utility model), DK, DK (utility model), DM, DZ, EC, EE, EE (utility model), ES, FI, FI (utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (utility model), SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

- without international search report and to be republished upon receipt of that report
- (48) Date of publication of this corrected version:

28 March 2002

(15) Information about Correction:

see PCT Gazette No. 13/2002 of 28 March 2002, Section

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: MANUFACTURING PROCESS FOR ELECTROPHORETIC DISPLAY

(57) Abstract: This invention relates to a method of manufacturing a micro-cup array. Such an array may find use in a number of applications such as, for example, a video display. The micro-cups find particular use in electrophoretic or liquid crystal displays.

CORRECTED VERSION

(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 3 January 2002 (03.01.2002)

PCT

English

(10) International Publication Number WO 02/01281 A2

(51) International Patent Classification7: G02F 1/00

(21) International Application Number: PCT/US01/20023

(22) International Filing Date: 28 June 2001 (28.06.2001)

(25) Filing Language:

(26) Publication Language: English

(30) Priority Data: 09/606.654 28 June 2000 (28.06.2000)

(71) Applicant: SIPIX IMAGING, INC. [US/US]: 1075 Montague Expressway, Milpitas, CA 95035 (US).

(72) Inventors: LIANG, Rong-Chang: 20142 Pacifica Drive. Cupertino. CA 95014 (US). CHAN-PARK, Mary: BIK14. Joo Seng Road. #05-101. Singapore. 360014 (SG). WU, Zarng-Arh, George: 2390 Lucretia Avenue #509. San Jose. CA 95122 (US). CHEN, Xianhai; 2601 Cortez Drive #45. Santa Clara, CA 95051 (US). ZANG, HongMei: 209 E. Red Oak Drive. Sunnyvale. CA 94086 (US).

(74) Agents: CHOW, Y., Ping et al.: Heller Ehrman White & McAuliffe LLP, 275 Middlefield Road, Menlo Park, CA 94025-3506 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AT (utility model), AU, AZ, BA, BB, BG, BR, BY, BZ, CA,

CH. CN, CO. CR, CU, CZ. CZ (utility model), DE. DE (utility model), DK, DK (utility model), DM, DZ. EC. EE, EE (utility model), ES, Fl. Fl (utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, Sl, SK, SK (utility model), SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM). European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

П

- without international search report and to be republished upon receipt of that report
- (48) Date of publication of this corrected version:

30 May 2002

(15) Information about Corrections: see PCT Gazette No. 22/2002 of 30 May 2002, Section II Previous Correction: see PCT Gazette No. 13/2002 of 28 March 2002, Section

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

2/01281 A2

(54) Title: MANUFACTURING PROCESS FOR ELECTROPHORETIC DISPLAY

(57) Abstract: This invention relates to a method of manufacturing a micro-cup array. Such an array may find use in a number of applications such as, for example, a video display. The micro-cups find particular use in electrophoretic or liquid crystal displays.

Manufacturing Process for Electrophoretic Display

Field

5

10

15

20

25

The present invention relates generally to the field of electrophoretic displays and, specifically, to a novel method of manufacturing such displays.

Background

The electrophoretic display is a non-emissive device based on the electrophoresis phenomenon of charged pigment particles suspended in a solvent. It was first proposed in 1969. The display usually comprises two plates with electrodes placed opposing each other, separated by using spacers. One of the electrodes is usually transparent. A suspension composed of a colored solvent and charged pigment particles is enclosed between the two plates. When a voltage difference is imposed between the two electrodes, the pigment particles migrate to one side and then either the color of the pigment or the color of the solvent can be seen according to the polarity of the voltage difference.

In order to prevent undesired movement of the particles, such as sedimentation, partitions between the two electrodes were proposed for dividing the space into smaller cells. However, in the case of partition-type electrophoretic displays, some difficulties were encountered in the formation of the partitions and the process of enclosing the suspension. Furthermore, it was also difficult to keep different colors of suspensions separate from each other in the partition-type electrophoretic display.

Subsequently, attempts were made to enclose the suspension in microcapsules. US Patent Nos. 5,961,804 and 5,930,026 describe microencapsulated electrophoretic displays. The reference display has a substantially two dimensional arrangement of microcapsules each having therein an electrophoretic composition of a dielectric fluid and a suspension of charged pigment particles that visually contrast with the dielectric solvent. The

WO 02/01281 PCT/US01/20023

2

microcapsules can be formed by interfacial polymerization, in-situ polymerization or other known methods such as physical processes, in-liquid curing or simple/complex coacervation. The microcapsules, after their formation, may be injected into a cell housing two spaced-apart electrodes, or "printed" into or coated on a transparent conductor film. The microcapsules may also be immobilized within a transparent matrix or binder that is itself sandwiched between the two electrodes.

The electrophoretic displays prepared by these prior art processes, in particular the microencapsulation process as disclosed in US Patent Nos. 5,930,026, 5,961,804, and 6,017,584, have many shortcomings. For example, the electrophoretic display manufactured by the microencapsulation process suffers from sensitivity to environmental changes (in particular sensitivity to moisture and temperature) due to the wall chemistry of the microcapsules. Secondly the electrophoretic display based on the microcapsules has poor scratch resistance due to the thin wall and large particle size of the microcapsules. To improve the handleability of the display, microcapsules are embedded in a large quantity of polymer matrix which results in a slow response time due to the large distance between the two electrodes and a low contrast ratio due to the low payload of pigment particles. It is also difficult to increase the surface charge density on the pigment particles because charge-controlling agents tend to diffuse to the water/oil interface during the microencapsulation process. The low charge density or zeta potential of the pigment particles in the microcapsules also results in a slow response rate. Furthermore, because of the large particle size and broad size distribution of the microcapsules, the prior art electrophoretic display of this type has poor resolution and addressability for color applications.

To prevent undesired movements of the particles such as lateral migration or sedimentation, partition of the electrophoretic display into smaller cells by photolithographic process has been reported. The process in the prior art is batchwise and requires solvent development. A roll-to-roll microembossing process has also been disclosed. It is desirable to have a high throughput method

30

5

10

15

20

of manufacture for micro-cups used in electrophoretic or liquid crystal displays that does not require a solvent.

Summary

5

10

15

20

25

The present invention is directed to a method of manufacture for an array of micro-cups and uses for the micro-cup array.

In one aspect of the invention there is a method utilizing a pre-patterned male mold that is coated with a thermoplastic or thermoset precursor composition (such as, for example, a UV curable resin) to form a micro-cup array. The resin is then contacted with a transfer sheet (or plastic substrate) having a patterned conducting layer and, optionally, heated. The mold is registered to the conductor pattern. A uniform pressure may be applied to the transfer sheet to aid in improving adhesion between the transfer sheet and the resin and control the thickness of the floor of the micro-cups. The resin is cured by exposure to radiation such as UV light. Once cured the resin is released from the male mold to yield the array of micro-cups. Optionally, the male mold may be pre-coated with a release coating such as wax, silicone or fluorinated polymer. If necessary, the micro-cup array may be post-cured.

In a second aspect of the invention there is provided a method of manufacture of an electrophoretic display using the micro-cup array. The process for the manufacture of a full color electrophoretic display comprises laminating the preformed microcups with a layer of positively working photoresist, selectively opening a certain number of the microcups by imagewise exposing the positive photoresist, followed by developing the resist, filling the opened cups with a colored electrophoretic fluid, and sealing the filled microcups by a sealing process. These steps may be repeated to create sealed microcups filled with electrophoretic fluids of different colors.

In a third aspect of the invention there is provided an electrophoretic display using the micro-cup array. The micro-cup array is filled with a dielectric fluid containing at least a charged pigment suspension in a colored dielectric

solvent or solvent mixture. The micro-cups are then sealed. The sealed array is laminated with a conductor film pre-coated with an adhesive layer.

Description of the Figures

Figure 1 is a schematic depiction of the electrophoretic display of the present invention.

Figure 2 is a schematic depiction of the Transfer Mold, i.e., male mold.

Figure 3 is a representation of a manufacturing process by transfer casting a UV curable composition onto a male mold.

Figure 4 is a flow chart for manufacturing a black/white electrophoretic display or other single color electrophoretic display.

Figure 5 is a flow chart for manufacturing a multi-color electrophoretic display.

Figure 6 is a typical micro-cup array prepared by the inventive method.

15

5

10

Detailed Description

The invention will now be described in detail by way of reference only using the following definitions and examples. All patents and publications referred to herein are expressly incorporated by reference.

20 <u>Definitions</u>

Unless defined otherwise in this specification, all technical terms are used herein according to their conventional definitions as they are commonly used and understood by those of ordinary skill in the art. As used herein, the following terms or abbreviations, whether used in the singular or plural, will have the meanings indicated:

The term "micro-cup" refers to the cup-like indentations created by transfer casting.

The term "cell", in the context of the present invention, is intended to mean the single unit formed from a sealed micro-cup. The cells are filled with charged pigment particles dispersed in a solvent or solvent mixture.

30

WO 02/01281 PCT/US01/20023

5

The term "well-defined", when describing the micro-cups or cells, is intended to indicate that the micro-cup or cell has a definite shape, size and aspect ratio which are pre-determined according to the specific parameters of the manufacturing process.

The term "aspect ratio" is a commonly known term in the art of electrophoretic displays. In this application, it refers to the depth to width or depth to diameter ratio of the micro-cups.

Preferred Embodiments

An electrophoretic display of the present invention, as shown in Figure 1, comprises two electrode plates (10, 11), at least one of which is transparent (10), and a layer of well-defined cells (12) enclosed between the two electrodes. The cells are of well-defined shape and size and are filled with charged pigment particles dispersed in a colored dielectric solvent. When a voltage difference is imposed between the two electrodes, the charged particles migrate to one side, such that either the color of the pigment or the color of the sol ent is seen through the transparent conductor film. At least one of the two conductors is patterned. The process for the preparation of such an electrophoretic display involves several aspects.

20

15

5

10

15

20

25

I. Preparation of the Micro-cups

Preparation of the Male Mold

The male mold (24) may be prepared by any appropriate method such as, for example, a photoresist process followed by either etching or electroplating. A representative example of the male mold is given in Figure 2. A master template for the male mold may be manufactured by any appropriate method, such as, for example, electroplating. With electroplating, a glass base is sputtered with a thin layer (typically 3000 Å) of a seed metal such as chrome inconel. It is then coated with a layer of photoresist and exposed to UV. A mask is placed between the UV and the layer of photoresist. The exposed areas of the photoresist become hardened. The unexposed areas are then removed by washing them with an appropriate solvent. The remaining hardened photoresist is dried and sputtered again with a thin layer of seed metal. A master is then ready for electroforming. A typical material used for electroforming is nickel cobalt. Alternatively, the master can be made of nickel by nickel sulfamate electroforming or electroless nickel deposition as described in "Continuous manufacturing of thin cover sheet optical media", SPIE Proc. Vol. 1663, pp.324 (1992). The floor of the mold (22) is typically between about 50 to 400 microns. The master can also be made using other microengineering techniques including e-beam writing, dry etching, chemical etching, laser writing or laser interference as described in "Replication techniques for micro-optics", SPIE Proc. Vol.3099, pp76-82 (1997). Alternatively, the mold can be made by photomachining using plastics, ceramics or metals.

The male mold (24) thus prepared typically has protrusions (20) between about 1 to 500 microns, preferably between about 2 to 100 microns, and most preferred being about 4 to 50 microns. The male mold may be in the form of a belt, a roller, or a sheet. For continuous manufacturing, the belt type of mold is preferred.

10

15

20

25

7

Micro-cup Formation

Micro-cups may be formed either in a batchwise process or in a continuous roll-to-roll process. The latter offers a continuous low cost, high throughput manufacturing technology for production of compartments for use in electrophoretic or liquid crystal displays. The process is represented in Figure 3. Prior to applying the UV curable resin, the mold may be prepared with a mold release to aid in the demolding process, if desired or necessary. The UV curable resin (30) may be degassed prior to dispensing and may optionally contain a solvent. The solvent, if present, readily evaporates. The UV curable resin (30) is dispensed by any appropriate means such as, for example, coating, dipping, pouring and the like, over the male mold (24). The dispenser (32) may be moving or stationary. A plastic substrate or transfer sheet with adhesion promotion properties (34) is overlaid on the UV curable resin.

The thermoplastic or thermoset precursor for the preparation of the microcups may be multifunctional acrylate or methacrylate, vinylether, epoxide and their oligomers, polymers and the like. Multifunctional acrylate and their oligomers are the most preferred. A combination of multifunctional epoxide and multifunctional acrylate is also very useful to achieve desirable physicomechanical properties. A crosslinkable oligomer imparting flexibility, such as urethane acrylate or polyester acrylate, is usually also added to improve the flexure resistance of the embossed micro-cups. The composition may contain polymer, oligomer, monomer and additives or only oligomer, monomer and additives. Examples of suitable resins include, but are not limited to, acrylics, polyesters such as polyethylene terephthalate and polyethylene naphthate, polyaramids, polyamides, polyimides, polyolefins, polysulfones, epoxy and their composites. Additional resins are polyvalent acrylate or methacrylate, polyvalent vinyls including vinylbenzene, vinylsilane, vinylether, polyvalent epoxide, polyvalent allyl and oligomers, polymers containing those crosslinkable functional groups and the like.



The glass transition temperatures (or Tg) for this class of materials usually range from about -70°C to about 150°C, preferably from about -20°C to about 50°C. The microembossing process is typically carried out at a temperature higher than the Tg. A heated male mold or a heated housing substrate against which the mold presses may be used to control the microembossing temperature and pressure.

Suitable plastics are polyethylene terephthalate, polyethylene naphthate, polycarbonate, polyvinyl fluoride, polyaramid, polyimide, polycycloolefin, polysulfone, epoxy, and their composites. Pressure may be applied, if necessary, to ensure proper bonding between the resin and the plastic and control the thickness of the floor of the micro-cups. The pressure may be applied using a laminating roller, vacuum molding, press device or any other like means. If the male mold is metallic and opaque, the plastic substrate is typically transparent to the actinic radiation used to cure the resin. Conversely, the male mold can be transparent and the plastic substrate can be opaque to the actinic radiation. To obtain good transfer of the molded features onto the transfer sheet, the plastic substrate needs to have good adhesion to the UV curable resin. Optionally, the mold or the precursor compostion, for example the curable resin, may be heated from between 40°C to about 200°C.

20

25

15.

Preparation of the Suspension/Dispersion II.

The micro-cups are filled with charged pigment particles dispersed in a dielectric solvent. The dispersion may be prepared according to methods well known in the art such as US Patent Nos. 6,017,584, 5,914,806, 5,573,711, 5,403,518, 5,380,362, 4,680,103, 4,285,801, 4,093,534, 4,071,430, 3,668,106 and IEEE Trans. Electron Devices, ED-24, 827 (1977), and J. Appl. Phys. 49(9), 4820 (1978). The charged pigment particles visually contrast with the medium in which the particles are suspended. The medium is a dielectric solvent which preferably has a low viscosity and a dielectric constant in the range of about 2 to about 30, preferably about 2 to about 15 for high particle mobility. Examples of 30

suitable dielectric solvents include hydrocarbons such as decahydronaphthalene (DECALIN), 5-ethylidene-2-norbornene, fatty oils, paraffin oil, aromatic hydrocarbons such as toluene, xylene, phenylxylylethane, dodecylbenzene and alkylnaphthalene, halogenated solvents such as perfluorodecalin, perfluorotoluene, perfluoroxylene, dichlorobenzotrifluoride, 3,4,5-5 trichlorobenzotrifluoride, chloropentafluoro-benzene, dichlorononane, pentachlorobenzene, and perfluoro solvents such as FC-43, FC-70 and FC-5060 from 3M Company, St. Paul MN, low molecular weight halogen containing polymers such as poly(perfluoropropylene oxide) from TCI America, Portland, Oregon, poly(chlorotrifluoroethylene) such as Halocarbon Oils from Halocarbon 10 Product Corp., River Edge, NJ, perfluoropolyalkylether such as Galden from Ausimont or Krytox Oils and Greases K-Fluid Series from DuPont, Delaware. In one preferred embodiment, poly(chlorotrifluoroethylene) is used as the dielectric solvent. In another preferred embodiment, poly(perfluoropropylene oxide) is used 15 as the dielectric solvent.

The suspending medium may be colored by dyes or pigments. Nonionic azo and anthraquinone dyes are particularly useful. Examples of useful dyes include, but are not limited to: Oil Red EGN, Sudan Red, Sudan Blue, Oil Blue, Macrolex Blue, Solvent Blue 35, Pylam Spirit Black and Fast Spirit Black from Pylam Products Co., Arizona, Sudan Black B from Aldrich, Thermoplastic Black 20 X-70 from BASF, anthroquinone blue, anthroquinone yellow 114, anthroquinone red 111, 135, anthroquinone green 28 from Aldrich. In case of an insoluble pigment, the pigment particles for generating the color of the medium may also be dispersed in the dielectric medium. These color particles are preferably uncharged. If the pigment particles for generating color in the medium are 25 charged, they preferably carry a charge which is opposite from that of the charged pigment particles. If both types of pigment particles carry the same charge, then they should have different charge density or different electrophoretic mobility. In any case, the dye or pigment for generating color of the medium must be chemically stable and compatible with other components in the suspension. 30

10

Additionally, polymeric dyes, such as dye grafted polymer, or grafted dyes such as dye grafted with oligomer / monomer may be used.

The charged pigment particles may be organic or inorganic pigments, such as TiO₂, phthalocyanine blue, phthalocyanine green, diarylide yellow, diarylide AAOT Yellow, and quinacridone, azo, rhodamine, perylene pigment series from Sun Chemical, Hansa yellow G particles from Kanto Chemical, and Carbon Lampblack from Fisher. Submicron particle size is preferred. The particles should have acceptable optical characteristics, should not swollen or softened by the dielectric solvent, and should be chemically stable. The resulting suspension must also be stable against sedimentation, creaming or flocculation under normal operating conditions.

The pigment particles may exhibit a native charge, or may be charged explicitly using a charge control agent, or may acquire a charge when suspended in the dielectric solvent. Suitable charge control agents are well known in the art; they may be polymeric or non-polymeric in nature, and may also be ionic or non-15 ionic, including ionic surfactants such as Aerosol OT, sodium dodecylbenzenesulfonate, OLOA 1200 from Chevron Chemical Co., metal soaps such as basic calcium petroleum sulphonate, basic calcium alkyl salicylate, zinc alkyl salicylate, alkyl diphenyloxide disulfonate (Dowfax surfactants from Dow 20 Chemical), polybutene succinimide, maleic anhydride copolymers, vinylpyridine copolymers, vinylpyrrolidone copolymer (such as Ganex from International Specialty Products), (meth)acrylic acid copolymers, N,N-dimethylaminoethyl (meth)acrylate copolymers. Fluorosurfactants are particularly useful as charge controlling agents in perfluorocarbon solvents. These include FC fluorosurfactants such as FC-170C, FC-171, FC-176, FC430, FC431 and FC-740 25 from 3M Company and Zonyl fluorosurfactants such as Zonyl FSA, FSE, FSN, FSN-100, FSO, FSO-100, FSD and UR from Dupont.

Suitable charged pigment dispersions may be manufactured by any of the well-known methods including grinding, milling, attriting, microfluidizing, and ultrasonic techniques. For example, pigment particles in the form of a fine

10

15

20

25

powder are added to the suspending solvent and the resulting mixture is ball milled or attrited for several hours to break up the highly agglomerated dry pigment powder into primary particles. Although less preferred, a dye or pigment for generating color of the suspending medium may be added to the suspension during the ball milling process.

Sedimentation or creaming of the pigment particles may be eliminated by microencapsulating the particles with suitable polymers to match the specific gravity to that of the dielectric solvent. Microencapsulation of the pigment particles may be accomplished chemically or physically. Typical microencapsulation processes include interfacial polymerization, in-situ polymerization, phase separation, coacervation, electrostatic coating, spray drying, fluidized bed coating and solvent evaporation.

For a black/white electrophoretic display, the suspension comprises charged white particles of titanium oxide (TiO₂) dispersed in a black solvent or charged black particles dispersed in a dielectric solvent. A black dye or dye mixture such as Pylam Spirit Black and Fast Spirit Black from Pylam Products Co. Arizona, Sudan Black B from Aldrich, Thermoplastic Black X-70 from BASF, or an insoluble black pigment such as carbon black may be used to generate the black color of the solvent. For other colored suspensions, there are many possibilities. For a subtractive color system, the charged TiO₂ particles may be suspended in a dielectric solvent of cyan, yellow or magenta color. The cyan, yellow or magenta color may be generated via the use of a dye or a pigment. For an additive color system, the charged TiO₂ particles may be suspended in a dielectric solvent of red, green or blue color generated also via the use of a dye or a pigment. The red, green, blue color system is preferred for most applications.

III. Sealing of the Micro-cups

The sealing of the micro-cups may be accomplished in a number of ways.

A preferred approach is to disperse a UV curable composition containing multifunctional acrylates, acrylated oligomers, and photoinitiators into an

10

15

20

25

electrophoretic fluid containing charged pigment particles dispersed in a colored dielectric solvent. The UV curable composition is immiscible with the dielectric solvent and has a specific gravity lower than that of the dielectric solvent and the pigment particles. The two components, UV curable composition and the electrophoretic fluid, are thoroughly blended in an in-line mixer and immediately coated onto the micro-cups with a precision coating mechanism such as Myrad bar, gravure, doctor blade, slot coating or slit coating. Excess fluid is scraped away by a wiper blade or a similar device. A small amount of a weak solvent or solvent mixture such as isopropanol, methanol, or their aqueous solutions may be used to clean the residual electrophoretic fluid on the top surface of the partition walls of the micro-cups. Volatile organic solvents may be used to control the viscosity and coverage of the electrophoretic fluid. The thus-filled micro-cups are then dried and the UV curable composition floats to the top of the electrophoretic fluid. The micro-cups may be sealed by curing the supernatant UV curable layer during or after it floats to the top. UV or other forms of radiation such as visible light, IR and electron beam may be used to cure and seal the micro-cups. Alternatively, heat or moisture may also be employed to cure and seal the microcups, if appropriate, heat or moisture curable compositions may be used.

A preferred group of dielectric solvents exhibiting desirable density and solubility discrimination against acrylate monomers and oligomers are halogenated hydrocarbons and their derivatives. Surfactants may be used to improve the adhesion and wetting at the interface between the electrophoretic fluid and the sealing materials. Useful surfactants include the FC surfactants from 3M Company, Zonyl fluorosurfactants from DuPont, fluoroacrylates, fluoro-substituted long chain alcohols, perfluoro-substituted

fluoromethacrylates, fluoro-substituted long chain alcohols, perfluoro-substituted long chain carboxylic acids and their derivatives.

Alternatively, the electrophoretic fluid and the sealing precursor may be coated sequentially into the micro-cups, if the sealing precursor is at least partially compatible with the dielectric solvent. Thus, the sealing of the micro-cups may be accomplished by overcoating a thin layer of thermoset precursor which is

10

15

20

curable by radiation, heat, moisture or interfacial reactions and curing on the surface of the filled micro-cups. Interfacial polymerization followed by UV curing is very beneficial to the sealing process. Intermixing between the electrophoretic layer and the overcoat is significantly suppressed by the formation of a thin barrier layer at the interface by interfacial polymerization. The sealing is then completed by a post curing step, preferably by UV radiation or other actinic radiation. To further reduce the degree of intermixing, it is highly desirable that the specific gravity of the overcoating is significantly lower than that of the electrophoretic fluid. Volatile organic solvents may be used to adjust the viscosity and the thickness of the coatings. When a volatile solvent is used in the overcoat, it is preferred that it is immiscible with the dielectric solvent. The two-step overcoating process is particularly useful when the dye used is at least partially soluble in the thermoset precursor.

IV. Preparation of Monochrome Electrophoretic Displays

The process is illustrated by the flow diagram as shown in Figure 4. All micro-cups are filled with a suspension of the same color composition. The process can be a continuous roll-to-roll process comprising the following steps:

- 1. Micro-cups are prepared as described above. Briefly, micro-cups are manufatured by transfer casting a UV curable composition onto a male mold, curing the composition, and demolding of the formed parts using a transfer sheet. The process may be batchwise or roll-to-roll.
- 2. Release the mold from the cured resin layer preferably during or after it is hardened by proper means.
- 25 3. Fill in the thus-formed array of micro-cups (63) with a charged pigment dispersion (64) in a colored dielectric solvent containing at least a thermoset precursor which is incompatible with the solvent and has a lower specific gravity than the solvent and the pigment particles.
- 4. Seal the micro-cups by curing the thermoset precursor preferably by radiation such as UV (65), or by heat or moisture during or after the thermoset

10

15

20

25

precursor separates and forms a supernatant layer on top of the liquid phase, thus forming closed electrophoretic cells containing pigment dispersion in a colored dielectric solvent.

5. Laminate the sealed array of electrophoretic cells with a second conductor film (66) pre-coated with an adhesive layer (67) which may be a pressure sensitive adhesive, a hot melt adhesive, a heat, moisture, or radiation curable adhesive.

The laminate adhesive may be post cured by radiation such as UV (68) through the top conductor film if the latter is transparent to the radiation. The finished product may be cut (69) and assembled after the lamination step.

The preparation of the micro-cups described above can be conveniently replaced by the alternative procedure of batchwise manufacture. The sealing of the micro-cups may alternatively be accomplished by directly overcoating and curing a layer of the thermoset precursor composition over the surface of the electrophoretic fluid.

V. Preparation of Multi-Color Electrophoretic Displays

For the manufacture of a multi-color electrophoretic display, additional steps are needed to generate micro-cups containing suspensions of different colors. These additional steps include (1) laminating the already formed micro-cups with a positively working dry-film photoresist consisting of at least a removable support such as PET-4851 from Saint-Gobain, Worcester, MA, a novolac positive photoresist such as Microposit S1818 from Shipley, and an alkali-developable adhesive layer such as a mixture of Nacor 72-8685 from National Starch and Carboset 515 from BF Goodrich; (2) selectively opening a certain amount of the micro-cups by imagewise exposing the photoresist, removing the removable support film, and developing the positive photoresist with a developer such as diluted Microposit 351 developer from Shipley; (3) filling the opened cups with the electrophoretic fluid containing charged white pigment (TiO₂) particles and dye or pigment of the first primary color; and (4)

15

20

sealing the filled micro-cups as described in the preparation of monochrome displays. These additional steps may be repeated to create micro-cups filled with electrophoretic fluid of the second and the third primary colors.

More specifically, a multi-color electrophoretic displays may be prepared according to the steps as shown in Figure 5:

- 1. Manufacture micro-cups using a UV curable resin (70) on a conductor film (71) as previously described above.
- 2. Release the mold from the cured resin layer preferably during or after it is hardened.
- 10 3. Laminate the thus formed array of micro-cups (72) with a positive dry-film photoresist which comprises at least an adhesive layer (73), a positive photoresist (74) and a removable plastic cover sheet (not shown).
 - 4. Imagewise expose (Figure 5c) the positive photoresist by UV, visible light, or other radiation, remove the cover sheet, develop and open cups in the exposed area. The purpose of Steps 4 and 5 is to selectively open the micro-cups in a predetermined area (Figure 5d).
 - 5. Fill in the opened micro-cups with a charged white pigment dispersion (75) in a dielectric solvent containing at least a dye or pigment of the first primary color and a thermoset precursor (76) which is incompatible with the solvent and has a lower specific gravity than the solvent and the pigment particles.
 - 6. Seal the micro-cups to form closed electrophoretic cells containing electrophoretic fluid of the first primary color by curing the thermoset precursor by heat, moisture or radiation such as UV during or after the thermoset precursor separates and forms a supernatant layer on top of the liquid phase (**Figure 5e**).
- 25 UV curing is preferred for the sealing step.
 - 7. Steps 5-7 described above may be repeated to generate well-defined cells containing electrophoretic fluids of different colors in different areas (Figures 5e 5g).
- 8. Laminate the sealed array of electrophoretic cells in registration to a second, pre-patterned transparent conductor film (77) pre-coated with an adhesive

layer (78) which may be a pressure sensitive adhesive, a hot melt adhesive, a heat, moisture, or radiation curable adhesive.

9. Harden the adhesive.

The preparation of the micro-cups described in the process above can conveniently be replaced by the alternative procedure of batchwise manufacture. The sealing of the micro-cups may be alternatively accomplished by directly coating a layer of a thermoset precursor material over the surface of the liquid phase.

The thickness of the display produced by the present processes as described can be as thin as a piece of paper. The width of the display is the width of the coating web (typically 3-90 inches). The length of the display can be anywhere from inches to thousands of feet depending on the size of the roll.

Examples

5

10

The following preparations and examples are given to enable those skilled in the art to more clearly understand and to practice the present invention. They should not be considered as limiting the scope of the invention, but merely as being illustrative and representative thereof.

20 <u>Example 1</u>

Preparation of Liquid Formulations for Micro-cups

The liquid formulations used are shown in Table 1. The liquid formulation was degassed using ultrasonics for 10 minutes prior to use. Formulation X-021 produced micro-cups with a thicker bottom floor than formulations X-023 or X-024.

Table 1 - Formulation for transfer molding

No.	Ingredient	Description	X-021	X-023	X-024
	(Supplier)				
1	Ebecryl 3605	Epoxy acrylate	56.07	53.67	48.60
	(UCB Chemicals)				
2	Ebecryl 4827	Urethane acrylate	11.21	10.73	9.72
	(UCB Chemicals)				
3	HDODA (UCB	Monomer	28.04	26.84	33.43
	Chemicals)				
4	Ebecryl 1360	Slip agent	0.00	4.29	4.20
	(UCB Chemicals)				
5	Irgacure 500	Photoinitiator	4.67	4.47	4.05
	(Ciba Specialty				
	Chemicals Corp.)				
	Total		100.00	100.00	100.00

The liquid formulations are conveniently dispensed using a moving or stationery dispenser. Using a stationery dispenser, precise doctoring of the coating over the retaining features of the male mold shall follow.

Example 2

Micro-cup Manufacture

A male mold with four quartrans was made using lithographic techniques
to produce micro-cups of dimensions shown in Figure 6. The male mold was
made primarily of nickel and the protrusions are about 10μm high. The mold was
prepared with a mold release, specifically Frekote[®] 700-NC. A few drops of the
desired liquid formulation (for example, as described in Example 1) were
deposited on the male mold using a micro-pipette. A polyethylene terphthalate
film (Mylar[®] or Melinex[®] film from DuPont) was then laminated on the coated
male mold. A GBC laminator with a set of matching rubber rollers was used for

WO 02/01281 PCT/US01/20023

.18

the lamination. The temperature of the rollers was set at 60°C, speed at 1-30 ft/min. The coating was exposed to UV light through the PET film for 5 minutes.

Dimensions of the micro-cups made by the technique of this invention are summarized in Table 2. Formulation X-021 produced micro-cups with a thicker bottom floor (about 10µm); formulations X-023 and X-024 produced a thinner floor (less than about 5µm).

Table 2 – 7	Transfer	casting	on film	with	various	formulation	s and films	
_ ~~~	7 1 00110 1 01	440011115	OTT TITLE	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				

No.	Sample	Formulation	Film ³	Quartran .	h ¹ (μm)	$d^2 (\mu m)$
1	F021A	X-021	Melinex 725/500g	3	8 – 10	10
2	F023B	X-023	Melinex 453/92g	2	12	~0
3.	F023B	X-023	Melinex 453/92g	1	12	2
4	F024A	X-024	Melinex 725/500g	4	11-12	4.5
5	F024B	X-024	Melinex 453/92g	2 .	12-13	1-3

- 1. h: Height of micro-cup
- 2. d: thickness of floor of micro-cup
- 10 3. Film Type/Film Thickness (in gauge)

While the present invention has been described with reference to the specific embodiments thereof, it should be understood by those skilled in the art that various changes may be made and equivalents may be substituted without departing from the true spirit and scope of the invention. In addition, many modifications may be made to adapt a particular situation, materials, compositions, processes, process step or steps, to the objective, spirit and scope of the present invention. All such modifications are intended to be within the scope of the claims appended hereto.

15

Claims

5

10

15

20

- 1. A method of manufacturing a micro-cup array comprising:
 - a. coating a thermoset or thermoplastic precursor composition onto a pre-patterned male mold to form a contiguous precursor layer on the male mold;
 - b. contacting the precursor layer with a transfer sheet;
 - c. curing the precursor to form a cured micro-cup array; and
 - d. releasing the mold from the micro-cup array.
- 2. The process of Claim 1 wherein said curing is by exposure to heat, moisture, or radiation preferably UV.
- 3. The process of Claim 1 wherein said precursor composition comprises crosslinkable resins, monomers, oligomers or polymers.
- 4. The process of Claim 1 wherein said crosslinkable resins, monomers, oligomers or polymers are selected from the group consisting of polyvalent acrylate or methacrylate, polyvalent vinyl including vinylbenzene, vinylsilane, vinylether, polyvalent epoxide, polyvalent allyl, and oligomers, polymers containing those crosslinkable functional groups, and the like.
- 5. The process of Claim 1 wherein the precursor composition is cast at a temperature near or above its glass transition temperature.
- 6. The process of Claim 5 wherein the glass transition temperature is from about -70°C to about 150°C.
- 7. The process of Claim 5 wherein the glass transition temperature is from about -20°C to about 50°C.
- 8. The method of Claim 1 wherein the precursor composition further comprises a plasticizer, organic solvent, or solvent mixture.
- 30 9. The process of Claim 1 wherein the male mold is selected from the group comprising a belt, a roller, and a sheet.



- 10. The process of Claim 9 wherein the male mold is a belt.
- 11. The method of Claim 1 wherein the mold or the precursor is heated from between about 40°C to about 200°C.
- 12. The method of Claim 1 wherein the precursor is partially cured, released from the mold, and post-cured to form a micro-cup array.
- 13. The method of Claim 1 wherein the transfer sheet is selected from the group consisting of polyethylene terephthalate, polyethylene naphthate, polycarbonate, polyvinyl fluoride, polyaramid, polyimide, polycycloolefin, polysulfone, epoxy, and their composites.
- 14. A method of manufacturing micro-cups for use in electrophoretic or liquid crystal displays comprising the steps:
 - a. casting a thermoset or thermoplastic precursor composition onto a pre-patterned male mold;
 - b. superimposing a transparent substrate having a patterned transparent conductor layer facing the precursor composition;
 - c. uniformly applying pressure to the substrate;
 - d. hardening the precursor composition; and
 - e. demolding the male mold with the adhered shaped features.
- 15. The process of Claim 14 wherein said curing is layer by exposure to heat, moisture, or radiation preferably UV.
- 16. The process of Claim 14 wherein said precursor composition comprises crosslinkable resins, monomers, oligomers or polymers.
- 17. The process of Claim 14 wherein said crosslinkable resins, monomers, oligomers or polymers are selected from the group consisting of polyvalent acrylate or methacrylate, polyvalent vinyl including vinylbenzene, vinylsilane, vinylether, polyvalent epoxide, polyvalent allyl, and oligomers, polymers containing those crosslinkable functional groups, and the like.
- 18. The process of Claim 14 wherein the precursor composition is cast at a temperature near or above its glass transition temperature.

15

25

20

- 19. The process of Claim 18 wherein the glass transition temperature is from about -70°C to about 150°C.
- 20. The process of Claim 18 wherein the glass transition temperature is from about -20°C to about 50°C.
- 21. The method of Claim 14 wherein the precursor composition further comprises a plasticizer, organic solvent, or solvent mixture.
- 22. The process of Claim 14 wherein the male mold is selected from the group comprising a belt, a roller, and a sheet.
- 23. The process of Claim 22 wherein the male mold is a belt.
- 24. The method of Claim 14 wherein the mold or the precursor is heated from between about 40°C to about 200°C.
 - 25. The method of Claim 14 wherein the precursor is partially cured, released from the mold, and post-cured to form a micro-cup array.
 - 26. The method of Claim 14 wherein the transfer sheet is selected from the group consisting of polyethylene terephthalate, polyethylene naphthate, polycarbonate, polyvinyl fluoride, polyaramid, polyimide, polycycloolefin, polysulfone, epoxy, and their composites.
 - 27. The method of Claim 26 wherein the patterned transparent conductor layer comprises Indium Tin Oxide (ITO) lines having a width matched to the width of the protrusion pattern of the male mold.
 - 28. The method of Claim 26 wherein the patterned transparent conductor layer is registered to the protrusion pattern of the male mold.
 - 29. A process for the manufacture of an electrophoretic display, which process comprises the steps of:
- a. preparing microcups by first coating a layer of thermoset precursor on a conductor film followed by embossing the thermoset precursor layer with a male mold or by imagewise exposing the thermoset precursor layer and removing the unexposed areas;

. 15

20

- filling in the thus-formed array of microcups with a dielectric fluid containing at least a charged pigment suspension in a dielectric solvent or solvent mixture;
- c. sealing the microcups; and
- d. laminating the sealed array of electrophoretic cells with a second conductor film pre-coated with an adhesive layer.
- 30. A process for the manufacture of a multi-color electrophoretic display, which process comprises the steps of:
 - a. preparing microcups by first coating a layer of thermoset or thermoplastic precursor composition on a conductor film followed by embossing the precursor layer with a male mode or by imagewise exposing the precursor layer and removing the unexposed areas;
 - b. laminating the thus formed array of microcups with a layer of positive photoresist;
 - c. imagewise exposing the positive photoresist to selectively open the microcups in a predetermined area;
 - d. filling in the opened microcups with a dielectric fluid comprising at least a white pigment dispersion in a dielectric solvent or solvent mixture containing a dye or pigment dispersion of the first color;
 - e. sealing the microcups to form closed electrophoretic cells containing said white pigment dispersion in said dielectric solvent or solvent mixture of the first color;
 - f. repeating Steps c) to e), if necessary, in different areas to generate groups of microcups containing electrophoretic fluid of different colors;
 - g. removing residual positive photoresist, if any; and
 - h. laminating the sealed array of electrophoretic cells with a second transparent conductor film precoated with an adhesive layer.
- 31. An electrophoretic display comprising a micro-cup array having plurality of cells having well-defined size, shape and aspect ratio, said

5

15

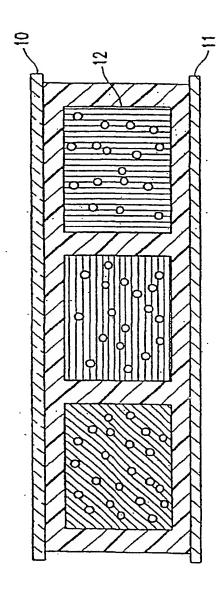
20

25



cells filled with charged pigment particles dispersed in a dielectric solvent or solvent mixture wherein the micro-cup array is formed by transfer casting using a UV curable resin.

1/7



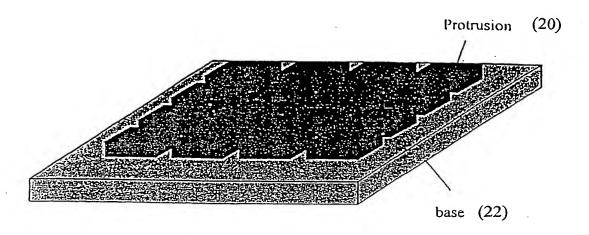


Figure 2 Transfer Molding Mold (24)

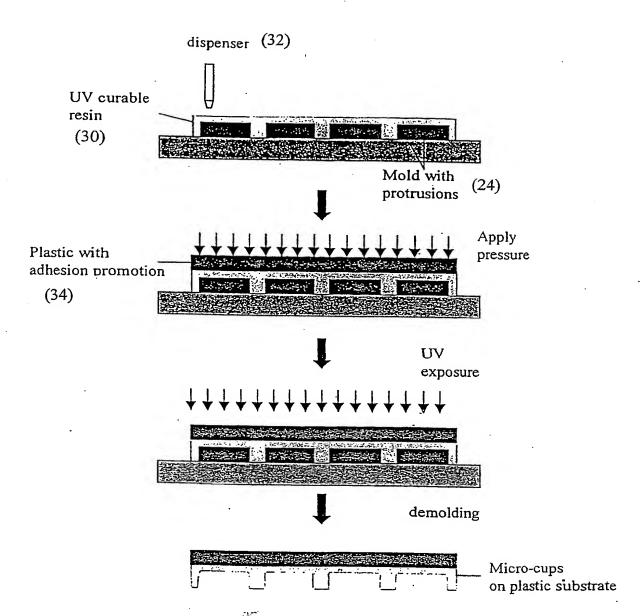


Figure 3 Transfer Molding with Resin Dispensed on Mold

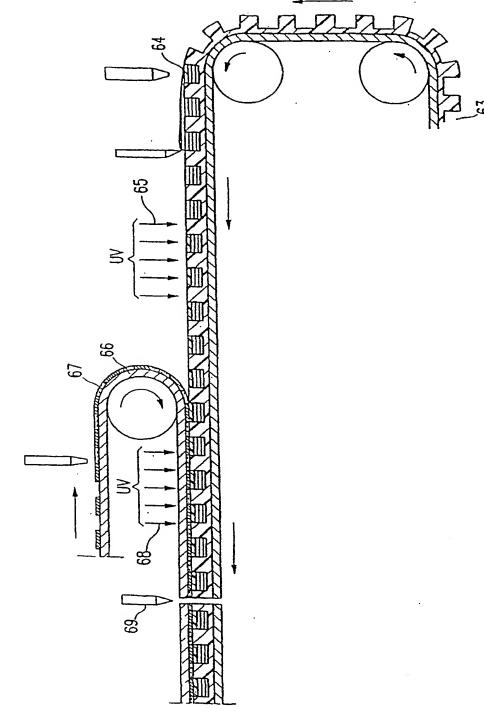
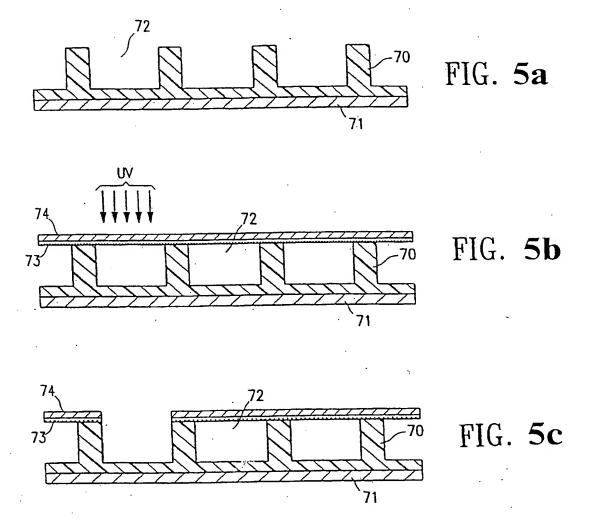


FIG. 4



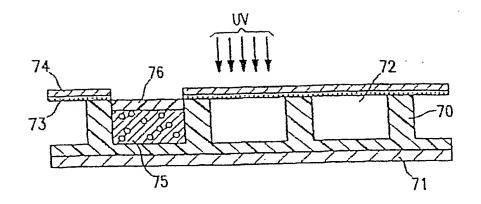


FIG. 5d

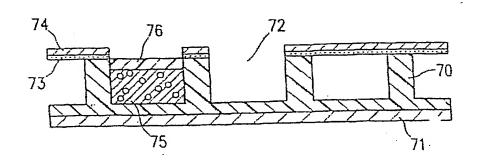


FIG. 5e

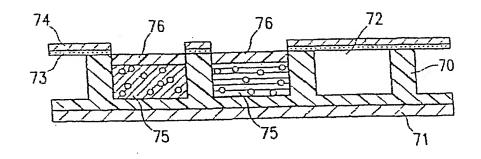


FIG. 5f

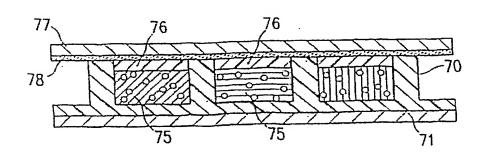


FIG. 5g

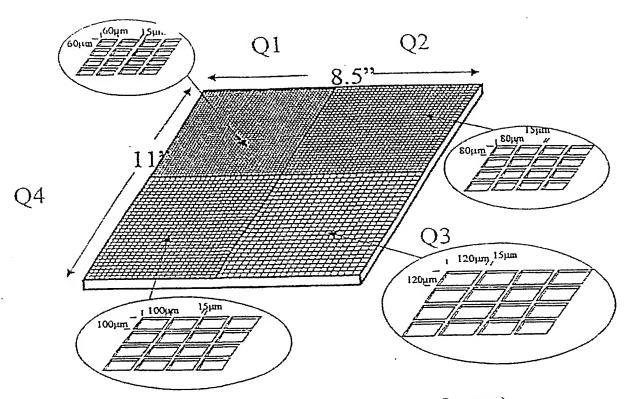


Figure 6 Schematic of Cast Micro-cups (Q is Quartran)

, id 11

BNSDOCID: <WO__0201281A2_IB>

(19) World Intellectual Property Organization International Bureau

(43) International Publication Date 3 January 2002 (03.01.2002)

PCT

(10) International Publication Number WO 02/001281 A3

(51) International Patent Classification7: G02F 1/1333, 1/1334, 1/167

(21) \International Application Number: PCT/US01/20023

(22) International Filing Date: 28 June 2001 (28.06.2001)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 09/606,654

28 June 2000 (28.06.2000) US

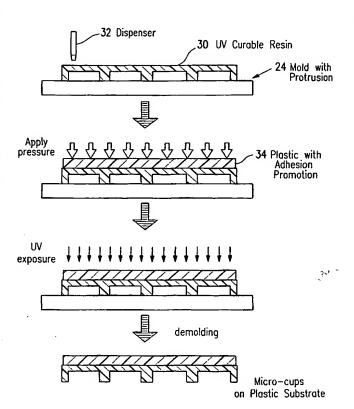
- (71) Applicant: SIPIX IMAGING, INC. [US/US]; 1075 Montague Expressway, Milpitas, CA 95035 (US).
- (72) Inventors: LIANG, Rong-Chang; 20142 Pacifica Drive, Cupertino, CA 95014 (US). CHAN-PARK, Mary; BIK14, Joo Seng Road, #05-101, Singapore, 360014 (SG). WU,

Zarng-Arh, George; 2390 Lucretia Avenue #509, San Jose, CA 95122 (US). CHEN, Xianhai; 2601 Cortez Drive #45, Santa Clara, CA 95051 (US). ZANG, HongMei; 209 E. Red Oak Drive, Sunnyvale, CA 94086 (US).

- (74) Agents: CHOW, Y., Ping et al.; Heller Ehrman White & McAuliffe LLP, 275 Middlefield Road, Menlo Park, CA 94025-3506 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT (utility model), AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ (utility model), CZ, DE (utility model), DE, DK (utility model), DK, DM, DZ, EC, EE (utility model), EE, ES, FI (utility model), FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK (utility model), SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

[Continued on next page]

(54) Title: MANUFACTURING PROCESS FOR ELECTROPHORETIC DISPLAY



Transfer Molding with Resin Dispensed on Mold

(57) Abstract: This invention relates to a method of manufacturing a micro-cup array. Such an array may find use in a number of applications such as, for example, a video display. The micro-cups find particular use in electrophoretic or liquid crystal displays.

WO 02/001281 A3



(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- (88) Date of publication of the international search report: 7 August 2003

(15) Information about Corrections: Previous Corrections:

see PCT Gazette No. 22/2002 of 30 May 2002, Section II see PCT Gazette No. 13/2002 of 28 March 2002, Section II

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

INTERNATIONAL SEARCH REPORT

Application No Internat PCT/US 01/20023

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 G02F1/1333 G02F1/1334 G02F1/167

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) $IPC \ 7 \quad G02F \quad G02B \quad G06F$

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 4 741 988 A (VAN DER ZANDE JOHAN M ET AL) 3 May 1988 (1988-05-03) the whole document	1-5, 8-18, 25-29,31
Υ .	WO 99 08151 A (MINNESOTA MINING & MFG) 18 February 1999 (1999-02-18) page 9, line 29 -page 11, line 16 page 17, line 27 -page 19, line 11; figures 2,4	1-5, 8-18, 25-29,31
A	US 5 930 026 A (JACOBSON JOSEPH M ET AL) 27 July 1999 (1999-07-27) cited in the application column 5, line 57 -column 6, line 31; figure 1A	1,14, 29-31

Further documents are listed in the continuation of box C.	X Patent family members are listed in annex.
Special categories of cited documents: A* document defining the general state of the art which is not considered to be of particular relevance E* earlier document but published on or after the international filing date C* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) O* document referring to an oral disclosure, use, exhibition or other means P* document published prior to the international filing date but later than the priority date claimed	 'T' tater document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention 'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone 'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. '&' document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
7 March 2003	17/03/2003
Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Manntz, W

INTERNATIONAL SEARCH REPORT

Internati	Application No
DOT/	IS 01/20023

C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A .	PATENT ABSTRACTS OF JAPAN vol. 013, no. 316 (P-900), 18 July 1989 (1989-07-18) & JP 01 086116 A (NIPPON MEKTRON LTD), 30 March 1989 (1989-03-30) abstract -& JP 01 086116 A (NIPPON MEKTRON LTD) 30 March 1989 (1989-03-30) figures 1-3	1,14, 29-31
A	US 3 689 346 A (ROWLAND WILLIAM P) 5 September 1972 (1972-09-05) column 2, line 53 -column 4, line 50	1,2,9, 10,12, 14,15, 26-31
·	column 7, line 25 - line 55; figures 1-9	
Ä	US 5 942 154 A (KIM SI-HWAN ET AL) 24 August 1999 (1999-08-24) column 4, line 13 - line 65; figures 1-5	1,3,14, 16,26-31
A	HARVEY T G: "REPLICATION TECHNIQUES FOR MICRO-OPTICS" PROCEEDINGS OF THE SPIE, SPIE, BELLINGHAM, VA, US, vol. 3099, 1997, pages 76-82, XP000998042 cited in the application the whole document	1,14,15, 29-31
A	SLAFER W D ET AL: "CONTINUOUS MANUFACTURING OF THIN COVER SHEET OPTICAL MEDIA" PROCEEDINGS OF THE SPIE, SPIE, BELLINGHAM, VA, US, vol. 1663, 1992, pages 324-335, XP000998044 cited in the application the whole document	1,14,15, 29-31
Α	WO 00 03291 A (E INK CORP) 20 January 2000 (2000-01-20) the whole document	30

INTERNATIONAL SEARCH REPORT

inquation on patent family members

Internation Application No
PCT/US 01/20023

	atent document d in search report		Publication date		Patent family member(s)		Publication date
US	4741988	A	03-05-1988	DE	3683396		27-02-1992
				EP	0202705		26-11-1986
				JP	8030827		27-03-1996
				JP	61264324	A 	22-11-1986
WO	9908151	Α	18-02-1999	US	6166797		26-12-2000
				AU	5705998		01-03-1999
				WO	9908151	A1 	18-02-1999
US	5930026	Α	27-07-1999	AU	721087		22-06-2000
				AU	4758597		22-05-1998
				BR	9712377		31-08-1999
			•	EP	0937278		25-08-1999
				JP	2001503873		21-03-2001
				WO	9819208		07-05-1998
				US 	6130773	A 	10-10-2000
JP	01086116	A	30-03-1989	JP	2551783	B2	06-11-1996
US	3689346	Α	05-09-1972	NONE			
US	5942154	Α	24-08-1999	JP	10133184	A	22-05-1998
WO	0003291	Α	20-01-2000	AU	5094699		01-02-2000
				CA	2300827		04-03-1999
				CA	2300830		04-03-1999
				CA	2300849		04-03-1999
				CA	2336596		20-01-2000
				EP	1093600		25-04-2001
				JP	2002520655		09-07-2002
				WO	0003291		20-01-2000
				US	6515649		04-02-2003
				US	6120839		19-09-2000
				US	6118426		12-09-2000
				US	6262706		17-07-2001
				US	6459418		01-10-2002
				US	6017584		25-01-2000
				US	6177921		23-01-2001
				US	2002113770		22-08-2002
				US	6249271	RI	19-06-2001

2.30

(12) INTERNATIONAL "APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

CORRECTED VERSION

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 3 January 2002 (03.01.2002)

PCT

(10) International Publication Number WO 02/001281 A3

- (51) International Patent Classification⁷: G02F 1/1333, 1/1334, 1/167
- (21) International Application Number: PCT/US01/20023
- (22) International Filing Date: 28 June 2001 (28.06.2001)
- (25) Filing Language:

English

(26) Publication Language:

English

on Plastic Substrate

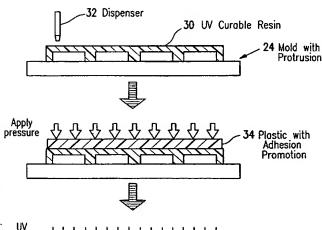
- (30) Priority Data: 09/606,654 28 June 2000 (28.06.2000) US
- (71) Applicant: SIPIX IMAGING, INC. [US/US]; 1075 Montague Expressway, Milpitas, CA 95035 (US).
- (72) Inventors: LIANG, Rong-Chang; 20142 Pacifica Drive, Cupertino, CA 95014 (US). CHAN-PARK, Mary; BIK14,

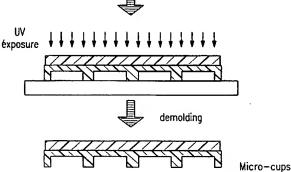
Joo Seng Road, #05-101, Singapore, 360014 (SG). WU, Zarng-Arh, George; 2390 Lucretia Avenue #509, San Jose, CA 95122 (US). CHEN, Xianhai; 2601 Cortez Drive #45, Santa Clara, CA 95051 (US). ZANG, HongMei; 209 E. Red Oak Drive, Sunnyvale, CA 94086 (US).

- (74) Agents: CHOW, Y., Ping et al.; Heller Ehrman White & McAuliffe LLP, 275 Middlefield Road, Menlo Park, CA 94025-3506 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT (utility model), AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ (utility model), CZ, DE (utility model), DE, DK (utility model), DK, DM, DZ, EC, EE (utility model), EE, ES, FI (utility model), FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI,

[Continued on next page]

(54) Title: MANUFACTURING PROCESS FOR ELECTROPHORETIC DISPLAY





Transfer Molding with Resin Dispensed on Mold

(57) Abstract: This invention relates to a method of manufacturing a micro-cup array. Such an array may find use in a number of applications such as, for example, a video display. The micro-cups find particular use in electrophoretic or liquid crystal displays.





- SK (utility model), SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- (88) Date of publication of the international search report: 7 August 2003

,,3 . .

- (48) Date of publication of this corrected version:
 9 October 2003
- (15) Information about Corrections:
 see PCT Gazette No. 41/2003 of 9 October 2003, Section II
 Previous Corrections:
 see PCT Gazette No. 22/2002 of 30 May 2002, Section II
 see PCT Gazette No. 13/2002 of 28 March 2002, Section II

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 02/001281 PCT/US01/20023

Manufacturing Process for Electrophoretic Display

Field

5

10

15

20

25

30

The present invention relates generally to the field of electrophoretic displays and, specifically, to a novel method of manufacturing such displays.

Background

The electrophoretic display is a non-emissive device based on the electrophoresis phenomenon of charged pigment particles suspended in a solvent. It was first proposed in 1969. The display usually comprises two plates with electrodes placed opposing each other, separated by using spacers. One of the electrodes is usually transparent. A suspension composed of a colored solvent and charged pigment particles is enclosed between the two plates. When a voltage difference is imposed between the two electrodes, the pigment particles migrate to one side and then either the color of the pigment or the color of the solvent can be seen according to the polarity of the voltage difference.

In order to prevent undesired movement of the particles, such as sedimentation, partitions between the two electrodes were proposed for dividing the space into smaller cells. However, in the case of partition-type electrophoretic displays, some difficulties were encountered in the formation of the partitions and the process of enclosing the suspension. Furthermore, it was also difficult to keep different colors of suspensions separate from each other in the partition-type electrophoretic display.

Subsequently, attempts were made to enclose the suspension in microcapsules. US Patent Nos. 5,961,804 and 5,930,026 describe microencapsulated electrophoretic displays. The reference display has a substantially two dimensional arrangement of microcapsules each having therein an electrophoretic composition of a dielectric fluid and a suspension of charged pigment particles that visually contrast with the dielectric solvent. The

microcapsules can be formed by interfacial polymerization, in-situ polymerization or other known methods such as physical processes, in-liquid curing or simple/complex coacervation. The microcapsules, after their formation, may be injected into a cell housing two spaced-apart electrodes, or "printed" into or coated on a transparent conductor film. The microcapsules may also be immobilized within a transparent matrix or binder that is itself sandwiched between the two electrodes.

The electrophoretic displays prepared by these prior art processes, in particular the microencapsulation process as disclosed in US Patent Nos. 5,930,026, 5,961,804, and 6,017,584, have many shortcomings. For example, the electrophoretic display manufactured by the microencapsulation process suffers from sensitivity to environmental changes (in particular sensitivity to moisture and temperature) due to the wall chemistry of the microcapsules. Secondly the electrophoretic display based on the microcapsules has poor scratch resistance due to the thin wall and large particle size of the microcapsules. To improve the handleability of the display, microcapsules are embedded in a large quantity of polymer matrix which results in a slow response time due to the large distance between the two electrodes and a low contrast ratio due to the low payload of pigment particles. It is also difficult to increase the surface charge density on the pigment particles because charge-controlling agents tend to diffuse to the water/oil interface during the microencapsulation process. The low charge density or zeta potential of the pigment particles in the microcapsules also results in a slow response rate. Furthermore, because of the large particle size and broad size distribution of the microcapsules, the prior art electrophoretic display of this type has poor resolution and addressability for color applications.

To prevent undesired movements of the particles such as lateral migration or sedimentation, partition of the electrophoretic display into smaller cells by photolithographic process has been reported. The process in the prior art is batchwise and requires solvent development. A roll-to-roll microembossing process has also been disclosed. It is desirable to have a high throughput method

10

15

20

25

of manufacture for micro-cups used in electrophoretic or liquid crystal displays that does not require a solvent.

Summary

5

10

15

20

25

30

The present invention is directed to a method of manufacture for an array of micro-cups and uses for the micro-cup array.

In one aspect of the invention there is a method utilizing a pre-patterned male mold that is coated with a thermoplastic or thermoset precursor composition (such as, for example, a UV curable resin) to form a micro-cup array. The resin is then contacted with a transfer sheet (or plastic substrate) having a patterned conducting layer and, optionally, heated. The mold is registered to the conductor pattern. A uniform pressure may be applied to the transfer sheet to aid in improving adhesion between the transfer sheet and the resin and control the thickness of the floor of the micro-cups. The resin is cured by exposure to radiation such as UV light. Once cured the resin is released from the male mold to yield the array of micro-cups. Optionally, the male mold may be pre-coated with a release coating such as wax, silicone or fluorinated polymer. If necessary, the micro-cup array may be post-cured.

In a second aspect of the invention there is provided a method of manufacture of an electrophoretic display using the micro-cup array. The process for the manufacture of a full color electrophoretic display comprises laminating the preformed microcups with a layer of positively working photoresist, selectively opening a certain number of the microcups by imagewise exposing the positive photoresist, followed by developing the resist, filling the opened cups with a colored electrophoretic fluid, and sealing the filled microcups by a sealing process. These steps may be repeated to create sealed microcups filled with electrophoretic fluids of different colors.

In a third aspect of the invention there is provided an electrophoretic display using the micro-cup array. The micro-cup array is filled with a dielectric fluid containing at least a charged pigment suspension in a colored dielectric

10

15

25

solvent or solvent mixture. The micro-cups are then sealed. The sealed array is laminated with a conductor film pre-coated with an adhesive layer.

Description of the Figures

Figure 1 is a schematic depiction of the electrophoretic display of the present invention.

Figure 2 is a schematic depiction of the Transfer Mold, i.e., male mold.

Figure 3 is a representation of a manufacturing process by transfer casting a UV curable composition onto a male mold.

Figure 4 is a flow chart for manufacturing a black/white electrophoretic display or other single color electrophoretic display.

Figure 5 is a flow chart for manufacturing a multi-color electrophoretic display.

Figure 6 is a typical micro-cup array prepared by the inventive method.

Detailed Description

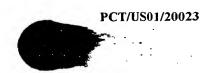
The invention will now be described in detail by way of reference only using the following definitions and examples. All patents and publications referred to herein are expressly incorporated by reference.

20 Definitions

Unless defined otherwise in this specification, all technical terms are used herein according to their conventional definitions as they are commonly used and understood by those of ordinary skill in the art. As used herein, the following terms or abbreviations, whether used in the singular or plural, will have the meanings indicated:

The term "micro-cup" refers to the cup-like indentations created by transfer casting.

The term "cell", in the context of the present invention, is intended to mean the single unit formed from a sealed micro-cup. The cells are filled with charged pigment particles dispersed in a solvent or solvent mixture.



The term "well-defined", when describing the micro-cups or cells, is intended to indicate that the micro-cup or cell has a definite shape, size and aspect ratio which are pre-determined according to the specific parameters of the manufacturing process.

The term "aspect ratio" is a commonly known term in the art of electrophoretic displays. In this application, it refers to the depth to width or depth to diameter ratio of the micro-cups.

Preferred Embodiments

An electrophoretic display of the present invention, as shown in Figure 1, comprises two electrode plates (10, 11), at least one of which is transparent (10), and a layer of well-defined cells (12) enclosed between the two electrodes. The cells are of well-defined shape and size and are filled with charged pigment particles dispersed in a colored dielectric solvent. When a voltage difference is imposed between the two electrodes, the charged particles migrate to one side, such that either the color of the pigment or the color of the solvent is seen through the transparent conductor film. At least one of the two conductors is patterned. The process for the preparation of such an electrophoretic display involves several aspects.

20

5

10

10

15

20

25

30

I. Preparation of the Micro-cups

Preparation of the Male Mold

The male mold (24) may be prepared by any appropriate method such as, for example, a photoresist process followed by either etching or electroplating. A representative example of the male mold is given in Figure 2. A master template for the male mold may be manufactured by any appropriate method, such as, for example, electroplating. With electroplating, a glass base is sputtered with a thin layer (typically 3000 Å) of a seed metal such as chrome inconel. It is then coated with a layer of photoresist and exposed to UV. A mask is placed between the UV and the layer of photoresist. The exposed areas of the photoresist become hardened. The unexposed areas are then removed by washing them with an appropriate solvent. The remaining hardened photoresist is dried and sputtered again with a thin layer of seed metal. A master is then ready for electroforming. A typical material used for electroforming is nickel cobalt. Alternatively, the mester can be made of nickel by nickel sulfamate electroforming or electroless nickel deposition as described in "Continuous manufacturing of thin cover sheet optical media", SPIE Proc. Vol. 1663, pp.324 (1992). The floor of the mold (22) is typically between about 50 to 400 microns. The master can also be made using other microengineering techniques including e-beam writing, dry etching, chemical etching, laser writing or laser interference as described in "Replication techniques for micro-optics", SPIE Proc. Vol.3099, pp76-82 (1997). Alternatively, the mold can be made by photomachining using plastics, ceramics or metals.

The male mold (24) thus prepared typically has protrusions (20) between about 1 to 500 microns, preferably between about 2 to 100 microns, and most preferred being about 4 to 50 microns. The male mold may be in the form of a belt, a roller, or a sheet. For continuous manufacturing, the belt type of mold is preferred.

10

15

20

25

7

Micro-cup Formation

Micro-cups may be formed either in a batchwise process or in a continuous roll-to-roll process. The latter offers a continuous low cost, high throughput manufacturing technology for production of compartments for use in electrophoretic or liquid crystal displays. The process is represented in Figure 3. Prior to applying the UV curable resin, the mold may be prepared with a mold release to aid in the demolding process, if desired or necessary. The UV curable resin (30) may be degassed prior to dispensing and may optionally contain a solvent. The solvent, if present, readily evaporates. The UV curable resin (30) is dispensed by any appropriate means such as, for example, coating, dipping, pouring and the like, over the male mold (24). The dispenser (32) may be moving or stationary. A plastic substrate or transfer sheet with adhesion promotion properties (34) is overlaid on the UV curable resin.

The thermoplastic or thermoset precursor for the preparation of the microcups may be multifunctional acrylate or methacrylate, vinylether, epoxide and their oligomers, polymers and the like. Multifunctional acrylate and their oligomers are the most preferred. A combination of multifunctional epoxide and multifunctional acrylate is also very useful to achieve desirable physicomechanical properties. A crosslinkable oligomer imparting flexibility, such as urethane acrylate or polyester acrylate, is usually also added to improve the flexure resistance of the embossed micro-cups. The composition may contain polymer, oligomer, monomer and additives or only oligomer, monomer and additives. Examples of suitable resins include, but are not limited to, acrylics, polyesters such as polyethylene terephthalate and polyethylene naphthate, polyaramids, polyamides, polyimides, polyolefins, polysulfones, epoxy and their composites. Additional resins are polyvalent acrylate or methacrylate, polyvalent vinyls including vinylbenzene, vinylsilane, vinylether, polyvalent epoxide, polyvalent allyl and oligomers, polymers containing those crosslinkable functional groups and the like.

PCT/US01/20023

The glass transition temperatures (or Tg) for this class of materials usually range from about -70°C to about 150°C, preferably from about -20°C to about 50°C. The microembossing process is typically carried out at a temperature higher than the Tg. A heated male mold or a heated housing substrate against which the mold presses may be used to control the microembossing temperature and pressure.

Suitable plastics are polyethylene terephthalate, polyethylene naphthate, polycarbonate, polyvinyl fluoride, polyaramid, polyimide, polycycloolefin, polysulfone, epoxy, and their composites. Pressure may be applied, if necessary, to ensure proper bonding between the resin and the plastic and control the thickness of the floor of the micro-cups. The pressure may be applied using a laminating roller, vacuum molding, press device or any other like means. If the male mold is metallic and opaque, the plastic substrate is typically transparent to the actinic radiation used to cure the resin. Conversely, the male mold can be transparent and the plastic substrate can be opaque to the actinic radiation. To obtain good transfer of the molded features onto the transfer sheet, the plastic substrate needs to have good adhesion to the UV curable resin. Optionally, the mold or the precursor compostion, for example the curable resin, may be heated from between 40°C to about 200°C.

20

15.

5

10

II. Preparation of the Suspension/Dispersion

The micro-cups are filled with charged pigment particles dispersed in a dielectric solvent. The dispersion may be prepared according to methods well known in the art such as US Patent Nos. 6,017,584, 5,914,806, 5,573,711, 5,403,518, 5,380,362, 4,680,103, 4,285,801, 4,093,534, 4,071,430, 3,66\$,106 and *IEEE Trans. Electron Devices*, ED-24, 827 (1977), and *J. Appl. Phys.* 49(9), 4820 (1978). The charged pigment particles visually contrast with the medium in which the particles are suspended. The medium is a dielectric solvent which preferably has a low viscosity and a dielectric constant in the range of about 2 to about 30, preferably about 2 to about 15 for high particle mobility. Examples of

10

20

25

suitable dielectric solvents include hydrocarbons such as decahydronaphthalene (DECALIN), 5-ethylidene-2-norbornene, fatty oils, paraffin oil, aromatic hydrocarbons such as toluene, xylene, phenylxylylethane, dodecylbenzene and alkylnaphthalene, halogenated solvents such as perfluorodecalin, perfluorotoluene, perfluoroxylene, dichlorobenzotrifluoride, 3,4,5trichlorobenzotrifluoride, chloropentafluoro-benzene, dichlorononane, pentachlorobenzene, and perfluoro solvents such as FC-43, FC-70 and FC-5060 from 3M Company, St. Paul MN, low molecular weight halogen containing polymers such as poly(perfluoropropylene oxide) from TCI America, Portland, Oregon, poly(chlorotrifluoroethylene) such as Halocarbon Oils from Halocarbon Product Corp., River Edge, NJ, perfluoropolyalkylether such as Galden from Ausimont or Krytox Oils and Greases K-Fluid Series from DuPont, Delaware. In one preferred embodiment, poly(chlorotrifluoroethylene) is used as the dielectric solvent. In another preferred embodiment, poly(perfluoropropylene oxide) is used as the dielectric solvent. 15

The suspending medium may be colored by dyes or pigments. Nonionic azo and anthraquinone dyes are particularly useful. Examples of useful dyes include, but are not limited to: Oil Red EGN, Sudan Red, Sudan Blue, Oil Blue, Macrolex Blue, Solvent Blue 35, Pylam Spirit Black and Fast Spirit Black from Pylam Products Co., Arizona, Sudan Black B from Aldrich, Thermoplastic Black X-70 from BASF, anthroquinone blue, anthroquinone yellow 114, anthroquinone red 111, 135, anthroquinone green 28 from Aldrich. In case of an insoluble pigment, the pigment particles for generating the color of the medium may also be dispersed in the dielectric medium. These color particles are preferably uncharged. If the pigment particles for generating color in the medium are charged, they preferably carry a charge which is opposite from that of the charged pigment particles. If both types of pigment particles carry the same charge, then they should have different charge density or different electrophoretic mobility. In any case, the dye or pigment for generating color of the medium must be chemically stable and compatible with other components in the suspension.

10

15

Additionally, polymeric dyes, such as dye grafted polymer, or grafted dyes such as dye grafted with oligomer / monomer may be used.

The charged pigment particles may be organic or inorganic pigments, such as TiO2, phthalocyanine blue, phthalocyanine green, diarylide yellow, diarylide AAOT Yellow, and quinacridone, azo, rhodamine, perylene pigment series from Sun Chemical, Hansa yellow G particles from Kanto Chemical, and Carbon Lampblack from Fisher. Submicron particle size is preferred. The particles should have acceptable optical characteristics, should not swollen or softened by the dielectric solvent, and should be chemically stable. The resulting suspension must also be stable against sedimentation, creaming or flocculation under normal operating conditions.

The pigment particles may exhibit a native charge, or may be charged explicitly using a charge control agent, or may acquire a charge when suspended in the dielectric solvent. Suitable charge control agents are well known in the art; they may be polymeric or non-polymeric in nature, and may also be ionic or nonionic, including ionic surfactants such as Aerosol OT, sodium dodecylbenzenesulfonate, OLOA 1200 from Chevron Chemical Co., metal soaps such as basic calcium petroleum sulphonate, basic calcium alkyl salicylate, zinc alkyl salicylate, alkyl diphenyloxide disulfonate (Dowfax surfactants from Dow Chemical), polybutene succinimide, maleic anhydride copolymers, vinylpyridine 20 copolymers, vinylpyrrolidone copolymer (such as Ganex from International Specialty Products), (meth)acrylic acid copolymers, N,N-dimethylaminoethyl (meth)acrylate copolymers. Fluorosurfactants are particularly useful as charge controlling agents in perfluorocarbon solvents. These include FC fluorosurfactants such as FC-170C, FC-171, FC-176, FC430, FC431 and FC-740 from 3M Company and Zonyl fluorosurfactants such as Zonyl FSA, FSE, FSN, FSN-100, FSO, FSO-100, FSD and UR from Dupont.

Suitable charged pigment dispersions may be manufactured by any of the well-known methods including grinding, milling, attriting, microfluidizing, and ultrasonic techniques. For example, pigment particles in the form of a fine

30

10

15

20

25

powder are added to the suspending solvent and the resulting mixture is ball milled or attrited for several hours to break up the highly agglomerated dry pigment powder into primary particles. Although less preferred, a dye or pigment for generating color of the suspending medium may be added to the suspension during the ball milling process.

Sedimentation or creaming of the pigment particles may be eliminated by microencapsulating the particles with suitable polymers to match the specific gravity to that of the dielectric solvent. Microencapsulation of the pigment particles may be accomplished chemically or physically. Typical microencapsulation processes include interfacial polymerization, in-situ polymerization, phase separation, coacervation, electrostatic coating, spray drying, fluidized bed coating and solvent evaporation.

For a black/white electrophoretic display, the suspension comprises charged white particles of titanium oxide (TiO₂) dispersed in a black solvent or charged black particles dispersed in a dielectric solvent. A black dye or dye mixture such as Pylam Spirit Black and Fast Spirit Black from Pylam Products Co. Arizona, Sudan Black B from Aldrich, Thermoplastic Black X-70 from BASF, or an insoluble black pigment such as carbon black may be used to generate the black color of the solvent. For other colored suspensions, there are many possibilities. For a subtractive color system, the charged TiO₂ particles may be suspended in a dielectric solvent of cyan, yellow or magenta color. The cyan, yellow or magenta color may be generated via the use of a dye or a pigment. For an additive color system, the charged TiO₂ particles may be suspended in a dielectric solvent of red, green or blue color generated also via the use of a dye or a pigment. The red, green, blue color system is preferred for most applications.

III. Sealing of the Micro-cups

The sealing of the micro-cups may be accomplished in a number of ways.

A preferred approach is to disperse a UV curable composition containing multifunctional acrylates, acrylated oligomers, and photoinitiators into an

10

15

20

25

electrophoretic fluid containing charged pigment particles dispersed in a colored dielectric solvent. The UV curable composition is immiscible with the dielectric solvent and has a specific gravity lower than that of the dielectric solvent and the pigment particles. The two components, UV curable composition and the electrophoretic fluid, are thoroughly blended in an in-line mixer and immediately coated onto the micro-cups with a precision coating mechanism such as Myrad bar, gravure, doctor blade, slot coating or slit coating. Excess fluid is scraped away by a wiper blade or a similar device. A small amount of a weak solvent or solvent mixture such as isopropanol, methanol, or their aqueous solutions may be used to clean the residual electrophoretic fluid on the top surface of the partition walls of the micro-cups. Volatile organic solvents may be used to control the viscosity and coverage of the electrophoretic fluid. The thus-filled micro-cups are then dried and the UV curable composition floats to the top of the electrophoretic fluid. The micro-cups may be sealed by curing the supernatant UV curable layer during or after it floats to the top. UV or other forms of radiation such as visible light, IR and electron beam may be used to cure and seal the micro-cups. Alternatively, heat or moisture may also be employed to cure and seal the microcups, if appropriate, heat or moisture curable compositions may be used.

A preferred group of dielectric solvents exhibiting desirable density and solubility discrimination against acrylate monomers and oligomers are halogenated hydrocarbons and their derivatives. Surfactants may be used to improve the adhesion and wetting at the interface between the electrophoretic fluid and the sealing materials. Useful surfactants include the FC surfactants from 3M Company, Zonyl fluorosurfactants from DuPont, fluoroacrylates, fluoro-substituted long chain alcohols, perfluoro-substituted long chain carboxylic acids and their derivatives.

Alternatively, the electrophoretic fluid and the sealing precursor may be coated sequentially into the micro-cups, if the sealing precursor is at least partially compatible with the dielectric solvent. Thus, the sealing of the micro-cups may be accomplished by overcoating a thin layer of thermoset precursor which is

10

15

20

curable by radiation, heat, moisture or interfacial reactions and curing on the surface of the filled micro-cups. Interfacial polymerization followed by UV curing is very beneficial to the sealing process. Intermixing between the electrophoretic layer and the overcoat is significantly suppressed by the formation of a thin barrier layer at the interface by interfacial polymerization. The sealing is then completed by a post curing step, preferably by UV radiation or other actinic radiation. To further reduce the degree of intermixing, it is highly desirable that the specific gravity of the overcoating is significantly lower than that of the electrophoretic fluid. Volatile organic solvents may be used to adjust the viscosity and the thickness of the coatings. When a volatile solvent is used in the overcoat, it is preferred that it is immiscible with the dielectric solvent. The two-step overcoating process is particularly useful when the dye used is at least partially soluble in the thermoset precursor.

IV. Preparation of Monochrome Electrophoretic Displays

The process is illustrated by the flow diagram as shown in Figure 4. All micro-cups are filled with a suspension of the same color composition. The process can be a continuous roll-to-roll process comprising the following steps:

- 1. Micro-cups are prepared as described above. Briefly, micro-cups are manufatured by transfer casting a UV curable composition onto a male mold, curing the composition, and demolding of the formed parts using a transfer sheet. The process may be batchwise or roll-to-roll.
 - 2. Release the mold from the cured resin layer preferably during or after it is hardened by proper means.
- 3. Fill in the thus-formed array of micro-cups (63) with a charged pigment dispersion (64) in a colored dielectric solvent containing at least a thermoset precursor which is incompatible with the solvent and has a lower specific gravity than the solvent and the pigment particles.
- 4. Seal the micro-cups by curing the thermoset precursor preferably by radiation such as UV (65), or by heat or moisture during or after the thermoset

10

15

precursor separates and forms a supernatant layer on top of the liquid phase, thus forming closed electrophoretic cells containing pigment dispersion in a colored dielectric solvent.

5. Laminate the sealed array of electrophoretic cells with a second conductor film (66) pre-coated with an adhesive layer (67) which may be a pressure sensitive adhesive, a hot melt adhesive, a heat, moisture, or radiation curable adhesive.

The laminate adhesive may be post cured by radiation such as UV (68) through the top conductor film if the latter is transparent to the radiation. The finished product may be cut (69) and assembled after the lamination step.

The preparation of the micro-cups described above can be conveniently replaced by the alternative procedure of batchwise manufacture. The sealing of the micro-cups may alternatively be accomplished by directly overcoating and curing a layer of the thermoset precursor composition over the surface of the electrophoretic fluid.

V. <u>Preparation of Multi-Color Electrophoretic Displays</u>

For the manufacture of a multi-color electrophoretic display, additional steps are needed to generate micro-cups containing suspensions of different colors. These additional steps include (1) laminating the already formed micro-20 cups with a positively working dry-film photoresist consisting of at least a removable support such as PET-4851 from Saint-Gobain, Worcester, MA, a novolac positive photoresist such as Microposit S1818 from Shipley, and an alkali-developable adhesive layer such as a mixture of Nacor 72-8685 from National Starch and Carboset 515 from BF Goodrich; (2) selectively opening a 25 certain amount of the micro-cups by imagewise exposing the photoresist, removing the removable support film, and developing the positive photoresist with a developer such as diluted Microposit 351 developer from Shipley; (3) filling the opened cups with the electrophoretic fluid containing charged white pigment (TiO₂) particles and dye or pigment of the first primary color; and (4) 30

15

20

sealing the filled micro-cups as described in the preparation of monochrome displays. These additional steps may be repeated to create micro-cups filled with electrophoretic fluid of the second and the third primary colors.

More specifically, a multi-color electrophoretic displays may be prepared according to the steps as shown in Figure 5:

- 1. Manufacture micro-cups using a UV curable resin (70) on a conductor film (71) as previously described above.
- 2. Release the mold from the cured resin layer preferably during or after it is hardened.
- 3. Laminate the thus formed array of micro-cups (72) with a positive dry-film photoresist which comprises at least an adhesive layer (73), a positive photoresist (74) and a removable plastic cover sheet (not shown).
 - 4. Imagewise expose (Figure 5c) the positive photoresist by UV, visible light, or other radiation, remove the cover sheet, develop and open cups in the exposed area. The purpose of Steps 4 and 5 is to selectively open the micro-cups in a predetermined area (Figure 5d).
 - 5. Fill in the opened micro-cups with a charged white pigment dispersion (75) in a dielectric solvent containing at least a dye or pigment of the first primary color and a thermoset precursor (76) which is incompatible with the solvent and has a lower specific gravity than the solvent and the pigment particles.
 - 6. Seal the micro-cups to form closed electrophoretic cells containing electrophoretic fluid of the first primary color by curing the thermoset precursor by heat, moisture or radiation such as UV during or after the thermoset precursor separates and forms a supernatant layer on top of the liquid phase (Figure 5e).
- 25 · UV curing is preferred for the sealing step.
 - 7. Steps 5-7 described above may be repeated to generate well-defined cells containing electrophoretic fluids of different colors in different areas (Figures 5e 5g).
- 8. Laminate the sealed array of electrophoretic cells in registration to a second, pre-patterned transparent conductor film (77) pre-coated with an adhesive

10

15

layer (78) which may be a pressure sensitive adhesive, a hot melt adhesive, a heat, moisture, or radiation curable adhesive.

9. Harden the adhesive.

The preparation of the micro-cups described in the process above can conveniently be replaced by the alternative procedure of batchwise manufacture. The sealing of the micro-cups may be alternatively accomplished by directly coating a layer of a thermoset precursor material over the surface of the liquid phase.

The thickness of the display produced by the present processes as described can be as thin as a piece of paper. The width of the display is the width of the coating web (typically 3-90 inches). The length of the display can be anywhere from inches to thousands of feet depending on the size of the roll.

Examples

The following preparations and examples are given to enable those skilled in the art to more clearly understand and to practice the present invention. They should not be considered as limiting the scope of the invention, but merely as being illustrative and representative thereof.

20 <u>Example 1</u>

Preparation of Liquid Formulations for Micro-cups

The liquid formulations used are shown in Table 1. The liquid formulation was degassed using ultrasonics for 10 minutes prior to use. Formulation X-021 produced micro-cups with a thicker bottom floor than formulations X-023 or X-024.

Table 1 - Formulation for transfer molding

No.	Ingredient D	Description	X-021	X-023	X-024
	(Supplier)		·		
1	Ebecryl 3605 E	poxy acrylate	56.07	53.67	48.60
	(UCB Chemicals)				
2	Ebecryl 4827 U	Trethane acrylate	11.21	10.73	9.72
	(UCB Chemicals)				
3	HDODA (UCB M	Monomer	28.04	26.84	33.43
	Chemicals)				
4	Ebecryl 1360 S	lip agent	0.00	4.29	4.20
	(UCB Chemicals)				
5	Irgacure 500 P	hotoinitiator	4.67	4.47	4.05
	(Ciba Specialty				· .
	Chemicals Corp.)	•			
	Total	-	100.00	100.00	100.00

The liquid formulations are conveniently dispensed using a moving or stationery dispenser. Using a stationery dispenser, precise doctoring of the coating over the retaining features of the male mold shall follow.

Example 2

Micro-cup Manufacture

A male mold with four quartrans was made using lithographic techniques
to produce micro-cups of dimensions shown in Figure 6. The male mold was
made primarily of nickel and the protrusions are about 10μm high. The mold was
prepared with a mold release, specifically Frekote[®] 700-NC. A few drops of the
desired liquid formulation (for example, as described in Example 1) were
deposited on the male mold using a micro-pipette. A polyethylene terphthalate
film (Mylar[®] or Melinex[®] film from DuPont) was then laminated on the coated
male mold. A GBC laminator with a set of matching rubber rollers was used for

the lamination. The temperature of the rollers was set at 60°C, speed at 1-30 ft/min. The coating was exposed to UV light through the PET film for 5 minutes.

Dimensions of the micro-cups made by the technique of this invention are summarized in Table 2. Formulation X-021 produced micro-cups with a thicker bottom floor (about 10µm); formulations X-023 and X-024 produced a thinner floor (less than about 5µm).

Table 2 - Transfer casting on film with various formulations and films

No.	Sample	Formulation	Film ³	Quartran .	h¹ (μm)	d ² (μm)
1	F021A	X-021	Melinex 725/500g	3	8 – 10	10
2	F023B	X-023	Melinex 453/92g	2	12	~0
3	F023B	X-023	Melinex 453/92g	1	12	2
4	F024A	X-024	Melinex 725/500g	4	11-12	4.5
5	F024B	X-024	Melinex 453/92g	2 .	12-13	1-3

- 1. h: Height of micro-cup
- 2. d: thickness of floor of micro-cup
- 10 3. Film Type/Film Thickness (in gauge)

While the present invention has been described with reference to the specific embodiments thereof, it should be understood by those skilled in the art that various changes may be made and equivalents may be substituted without departing from the true spirit and scope of the invention. In addition, many modifications may be made to adapt a particular situation, materials, compositions, processes, process step or steps, to the objective, spirit and scope of the present invention. All such modifications are intended to be within the scope of the claims appended hereto.

Claims

5

10

15

20

- A method of manufacturing a micro-cup array comprising: 1.
 - a. coating a thermoset or thermoplastic precursor composition onto a pre-patterned male mold to form a contiguous precursor layer on the male mold;
 - b. contacting the precursor layer with a transfer sheet;
 - c. curing the precursor to form a cured micro-cup array; and
 - d. releasing the mold from the micro-cup array.
- The process of Claim 1 wherein said curing is by exposure to heat, 2. moisture, or radiation preferably UV.
- The process of Claim 1 wherein said precursor composition 3. comprises crosslinkable resins, monomers, oligomers or polymers.
- The process of Claim 1 wherein said crosslinkable resins, . 4. monomers, oligomers or polymers are selected from the group consisting of polyvalent acrylate or methacrylate, polyvalent vinyl including vinylbenzene, vinylsilane, vinylether, polyvalent epoxide, polyvalent allyl, and oligomers, polymers containing those crosslinkable functional groups, and the like.
 - The process of Claim 1 wherein the precursor composition is cast at 5. a temperature near or above its glass transition temperature.
 - The process of Claim 5 wherein the glass transition temperature is 6. from about -70°C to about 150°C.
 - The process of Claim 5 wherein the glass transition temperature is 7. from about -20°C to about 50°C.
 - The method of Claim 1 wherein the precursor composition further 8. comprises a plasticizer, organic solvent, or solvent mixture.
- The process of Claim 1 wherein the male mold is selected from the 30 9. group comprising a belt, a roller, and a sheet.



- 10. The process of Claim 9 wherein the male mold is a belt.
- 11. The method of Claim 1 wherein the mold or the precursor is heated from between about 40°C to about 200°C.
- 12. The method of Claim 1 wherein the precursor is partially cured, released from the mold, and post-cured to form a micro-cup array.
- 13. The method of Claim 1 wherein the transfer sheet is selected from the group consisting of polyethylene terephthalate, polyethylene naphthate, polycarbonate, polyvinyl fluoride, polyaramid, polyimide, polycycloolefin, polysulfone, epoxy, and their composites.
- 14. A method of manufacturing micro-cups for use in electrophoretic or liquid crystal displays comprising the steps:
 - a. casting a thermoset or thermoplastic precursor composition onto a pre-patterned male mold;
 - superimposing a transparent substrate having a patterned transparent conductor layer facing the precursor composition;
 - c. uniformly applying pressure to the substrate;
 - d. hardening the precursor composition; and
 - e. demolding the male mold with the adhered shaped features.
- 15. The process of Claim 14 wherein said curing is layer by exposure to heat, moisture, or radiation preferably UV.
- 16. The process of Claim 14 wherein said precursor composition comprises crosslinkable resins, monomers, oligomers or polymers.
- 17. The process of Claim 14 wherein said crosslinkable resins, monomers, oligomers or polymers are selected from the group consisting of polyvalent acrylate or methacrylate, polyvalent vinyl including vinylbenzene, vinylsilane, vinylether, polyvalent epoxide, polyvalent allyl, and oligomers, polymers containing those crosslinkable functional groups, and the like.
- 18. The process of Claim 14 wherein the precursor composition is cast at a temperature near or above its glass transition temperature.

15

20

30

- 19. The process of Claim 18 wherein the glass transition temperature is from about -70°C to about 150°C.
- 20. The process of Claim 18 wherein the glass transition temperature is from about -20°C to about 50°C.
- 21. The method of Claim 14 wherein the precursor composition further comprises a plasticizer, organic solvent, or solvent mixture.
- 22. The process of Claim 14 wherein the male mold is selected from the group comprising a belt, a roller, and a sheet.
- 23. The process of Claim 22 wherein the male mold is a belt.
- 24. The method of Claim 14 wherein the mold or the precursor is heated from between about 40°C to about 200°C.
- 25. The method of Claim 14 wherein the precursor is partially cured, released from the mold, and post-cured to form a micro-cup array.
- 26. The method of Claim 14 wherein the transfer sheet is selected from the group consisting of polyethylene terephthalate, polyethylene naphthate, polycarbonate, polyvinyl fluoride, polyaramid, polyimide, polycycloolefin, polysulfone, epoxy, and their composites.
- 27. The method of Claim 26 wherein the patterned transparent conductor layer comprises Indium Tin Oxide (ITO) lines having a width matched to the width of the protrusion pattern of the male mold.
- 28. The method of Claim 26 wherein the patterned transparent conductor layer is registered to the protrusion pattern of the male mold.
- 29. A process for the manufacture of an electrophoretic display, which process comprises the steps of:
 - a. preparing microcups by first coating a layer of thermoset precursor on a conductor film followed by embossing the thermoset precursor layer with a male mold or by imagewise exposing the thermoset precursor layer and removing the unexposed areas;

10

15

20

- b. filling in the thus-formed array of microcups with a dielectric fluid containing at least a charged pigment suspension in a dielectric solvent or solvent mixture;
- c. sealing the microcups; and
- d. laminating the sealed array of electrophoretic cells with a second conductor film pre-coated with an adhesive layer.
- 30. A process for the manufacture of a multi-color electrophoretic display, which process comprises the steps of:
 - a. preparing microcups by first coating a layer of thermoset or thermoplastic precursor composition on a conductor film followed by embossing the precursor layer with a male mode or by imagewise exposing the precursor layer and removing the unexposed areas;
 - laminating the thus formed array of microcups with a layer of positive photoresist;
 - c. imagewise exposing the positive photoresist to selectively open the microcups in a predetermined area;
 - d. filling in the opened microcups with a dielectric fluid comprising at least a white pigment dispersion in a dielectric solvent or solvent mixture containing a dye or pigment dispersion of the first color;
 - e. sealing the microcups to form closed electrophoretic cells containing said white pigment dispersion in said dielectric solvent or solvent mixture of the first color;
 - f. repeating Steps c) to e), if necessary, in different areas to generate groups of microcups containing electrophoretic fluid of different colors;
 - g. removing residual positive photoresist, if any; and
 - h. laminating the sealed array of electrophoretic cells with a second transparent conductor film precoated with an adhesive layer.
- 31. An electrophoretic display comprising a micro-cup array having plurality of cells having well-defined size, shape and aspect ratio, said

5

15

20

25

cells filled with charged pigment particles dispersed in a dielectric solvent or solvent mixture wherein the micro-cup array is formed by transfer casting using a UV curable resin.

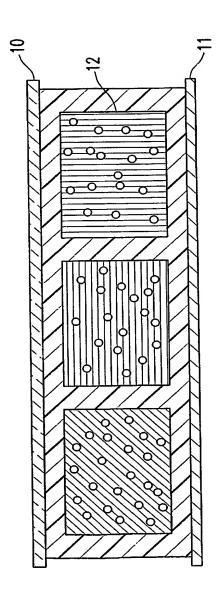


FIG.

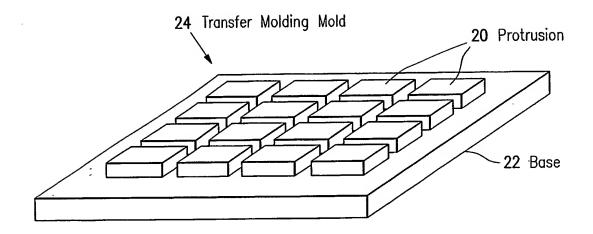
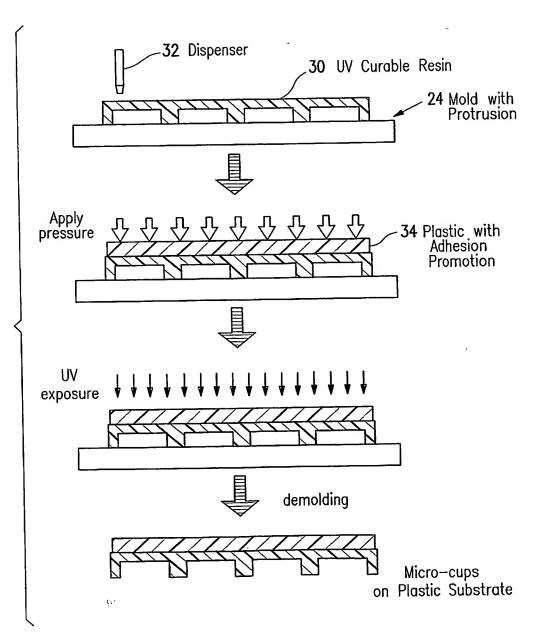
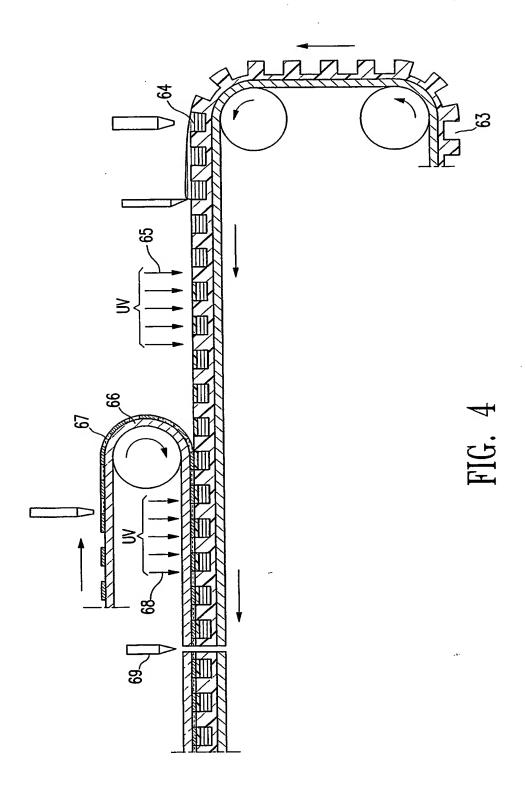


FIG. 2



Transfer Molding with Resin Dispensed on Mold $FIG. \ \ 3$





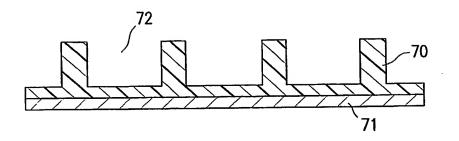


FIG. 5a

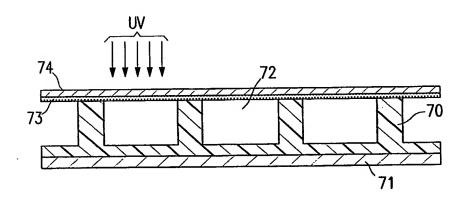


FIG. 5b

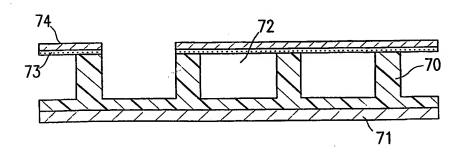


FIG. 5c

6/7

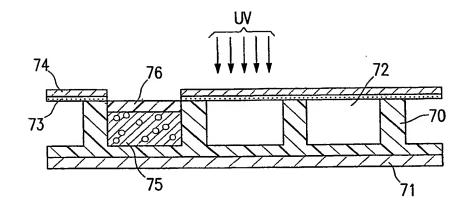


FIG. 5d

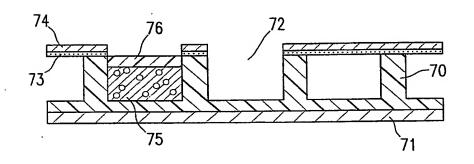


FIG. 5e

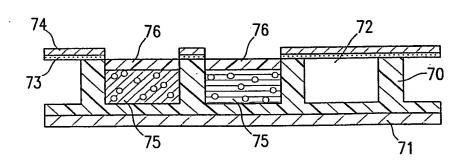


FIG. 5f

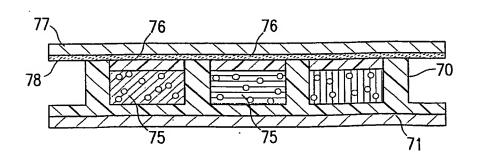
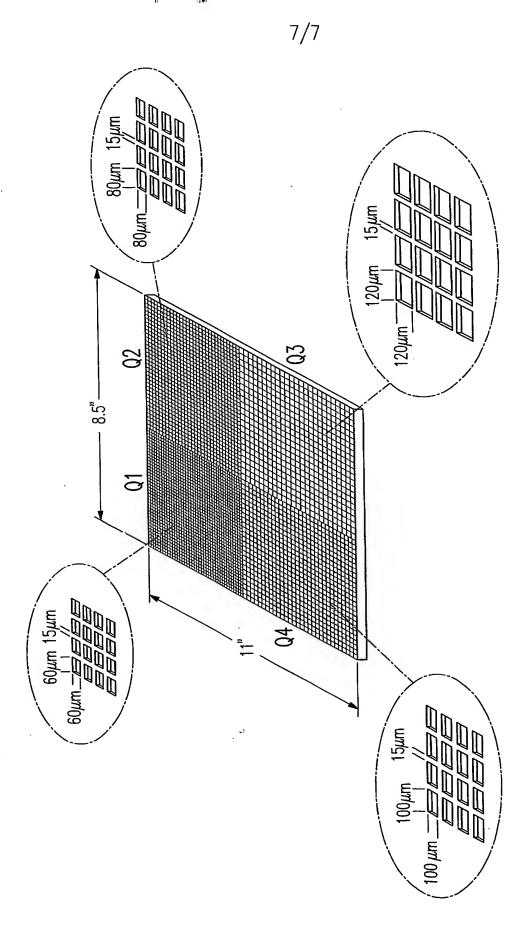


FIG. 5g



Schematic of Cast Micro-cups (Q is Quartran)

SUBSTITUTE SHEET (RULE 26)

INTERNATIONAL SEARCH REPORT

Application No Interna PCI

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 G02F1/1333 G02F1/1334 G02F1/167

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

 $\begin{array}{ll} \mbox{Minimum documentation searched (classification system followed by classification symbols)} \\ \mbox{IPC 7} & \mbox{G02F} & \mbox{G02B} & \mbox{G06F} \\ \end{array}$

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 4 741 988 A (VAN DER ZANDE JOHAN M ET AL) 3 May 1988 (1988-05-03) the whole document	1-5, 8-18, 25-29,31
Y	WO 99 08151 A (MINNESOTA MINING & MFG) 18 February 1999 (1999-02-18) page 9, line 29 -page 11, line 16 page 17, line 27 -page 19, line 11; figures 2,4	1-5, 8-18, 25-29,31
A	US 5 930 026 A (JACOBSON JOSEPH M ET AL) 27 July 1999 (1999-07-27) cited in the application column 5, line 57 -column 6, line 31; figure 1A	1,14, 29-31

X Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
 Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filling date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed Date of the actual completion of the international search 7 March 2003 	 "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family Date of mailing of the international search report
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tet. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer Manntz, W

Form PCT/ISA/210 (second sheet) (July 1992)

INTERNATIONAL SEARCH REPORT

Intern: .pplication No PCT/US 01/20023

	· i du	FC1703 01/20023
C.(Continua	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	Coloured to olding No.
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	PATENT ABSTRACTS OF JAPAN vol. 013, no. 316 (P-900), 18 July 1989 (1989-07-18) & JP 01 086116 A (NIPPON MEKTRON LTD), 30 March 1989 (1989-03-30) abstract -& JP 01 086116 A (NIPPON MEKTRON LTD) 30 March 1989 (1989-03-30) figures 1-3	1,14, 29-31
A	US 3 689 346 A (ROWLAND WILLIAM P) 5 September 1972 (1972-09-05) column 2, line 53 -column 4, line 50 column 7, line 25 - line 55; figures 1-9	1,2,9, 10,12, 14,15, 26-31
A	US 5 942 154 A (KIM SI-HWAN ET AL) 24 August 1999 (1999-08-24) column 4, line 13 - line 65; figures 1-5	1,3,14, 16,26-31
A	HARVEY T G: "REPLICATION TECHNIQUES FOR MICRO-OPTICS" PROCEEDINGS OF THE SPIE, SPIE, BELLINGHAM, VA, US, vol. 3099, 1997, pages 76-82, XP000998042 cited in the application the whole document	1,14,15, 29-31
А	SLAFER W D ET AL: "CONTINUOUS MANUFACTURING OF THIN COVER SHEET OPTICAL MEDIA" PROCEEDINGS OF THE SPIE, SPIE, BELLINGHAM, VA, US, vol. 1663, 1992, pages 324-335, XP000998044 cited in the application the whole document	1,14,15, 29-31
А	WO 00 03291 A (E INK CORP) 20 January 2000 (2000-01-20) the whole document	30
	<i>→</i>	

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

INTERNATIONAL SEARCH REPORT

ition on patent family members

Intern: pplication No PCT/US 01/20023

	1	1 0			01/03	31/20023
Patent document cited in search report		Publication date		Patent family member(s)		Publication date
US 4741988	Α	03-05-1988	DE	3683396	D1	27-02-1992
00 (7 12500	••	00 00 2000	EP	0202705		26-11-1986
			ĴΡ	8030827		27-03-1996
			JP	61264324		22-11-1986
WO 9908151	Α	18-02-1999	US	6166797	Α	26-12-2000
			AU	5705998		01-03-1999
			WO	9908151	A1	18-02-1999
				701007		00.00.0000
US 5930026	Α	27-07-1999	AU	721087		22-06-2000
			AU	4758597		22-05-1998
			BR	9712377		31-08-1999
			EP	0937278		25-08-1999
			JP	2001503873		21-03-2001
			WO	9819208		07-05-1998
			US	6130773	Α	10-10-2000
JP 01086116	Α	30-03-1989	JP	2551783	B2	06-11-1996
US 3689346	Α	05-09-1972	NONE			
US 5942154	Α	24-08-1999	JP	10133184	Α	22-05-1998
WO 0003291	Α	20-01-2000	AU	5094699	Α	01-02-2000
		20 01 2000	CA	2300827		04-03-1999
			CA	2300830		04-03-1999
			CA	2300849	A1	04-03-1999
			CA CA	2300849 2336596		04-03-1999 20-01-2000
			CA	2336596	A1	20-01-2000
			CA EP	2336596 1093600	A1 A1	20-01-2000 25-04-2001
			CA EP JP	2336596 1093600 2002520655	A1 A1 T	20-01-2000 25-04-2001 09-07-2002
			CA EP JP WO	2336596 1093600 2002520655 0003291	A1 A1 T A1	20-01-2000 25-04-2001 09-07-2002 20-01-2000
			CA EP JP WO US	2336596 1093600 2002520655 0003291 6515649	A1 A1 T A1 B1	20-01-2000 25-04-2001 09-07-2002 20-01-2000 04-02-2003
			CA EP JP WO US US	2336596 1093600 2002520655 0003291 6515649 6120839	A1 T A1 B1 A	20-01-2000 25-04-2001 09-07-2002 20-01-2000 04-02-2003 19-09-2000
			CA EP JP WO US US	2336596 1093600 2002520655 0003291 6515649 6120839 6118426	A1 T A1 B1 A	20-01-2000 25-04-2001 09-07-2002 20-01-2000 04-02-2003 19-09-2000 12-09-2000
· .			CA EP JP WO US US US	2336596 1093600 2002520655 0003291 6515649 6120839 6118426 6262706	A1 T A1 B1 A A B1	20-01-2000 25-04-2001 09-07-2002 20-01-2000 04-02-2003 19-09-2000 12-09-2000 17-07-2001
			CA EP JP WO US US US US	2336596 1093600 2002520655 0003291 6515649 6120839 6118426 6262706 6459418	A1 T A1 B1 A A B1 B1	20-01-2000 25-04-2001 09-07-2002 20-01-2000 04-02-2003 19-09-2000 12-09-2000 17-07-2001 01-10-2002
			CA EP JP WO US US US US US	2336596 1093600 2002520655 0003291 6515649 6120839 6118426 6262706 6459418 6017584	A1 T A1 B1 A A B1 B1 A	20-01-2000 25-04-2001 09-07-2002 20-01-2000 04-02-2003 19-09-2000 12-09-2000 17-07-2001 01-10-2002 25-01-2000
			CA EP JP WO US US US US US	2336596 1093600 2002520655 0003291 6515649 6120839 6118426 6262706 6459418 6017584 6177921	A1 T A1 B1 A A B1 B1 A B1	20-01-2000 25-04-2001 09-07-2002 20-01-2000 04-02-2003 19-09-2000 12-09-2000 17-07-2001 01-10-2002 25-01-2000 23-01-2001
· .			CA EP JP WO US US US US US	2336596 1093600 2002520655 0003291 6515649 6120839 6118426 6262706 6459418 6017584	A1 T A1 B1 A A B1 B1 A B1 A B1	20-01-2000 25-04-2001 09-07-2002 20-01-2000 04-02-2003 19-09-2000 12-09-2000 17-07-2001 01-10-2002 25-01-2000

Form PCT/ISA/210 (patent family annex) (July 1992)